LOW-POWER CIRCUIT TECHNIQUES FOR BATTERY-POWERED

DSP APPLICATIONS

by

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Dedication

This dissertation is dedicated to all of my family members for their love, encouragement and support.

Acknowledgements

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Abstract

One of the most crucial factors that fuel the needs for low-power VLSI chips is the increased market demand for portable consumer electronics powered by batteries. The craving for smaller, lighter and more durable electronic products indirectly translates to low-power requirements.

This dissertation proposes various circuit techniques for the memory and the clock network, which are among the major power consuming components in many portable DSP applications. First, a general-purpose high-performance low-power register file design is presented. Based on self-resetting postcharge logic, the design provides wide voltage scalability and avoids short-circuit current. Second, we present a sequential access memory design to further optimize power dissipation and performance by replacing decoders with novel sequencers. The sequential access pattern for memory is ubiquitous in many DSP applications such as FIR filtering and FIFOs. Power dissipation required for address sequencing logic, decoders and drivers for address lines are eliminated by exploiting this characteristic. Third, we present an energy-efficient clock generator based on the harmonic resonant circuit technique. Significant power dissipation for a clock network is reduced because most of the charge is recovered by driving the network resonantly. Experimental results are presented for a comparison with conventional clock drivers and various characteristics of the proposed circuit are quantified. Finally, a novel FIR filter design is presented as a case study to show the feasibility of the proposed circuit techniques for a real DSP application. The high-frequency clock signal needed for FIR filter operations is locally generated from a self-resetting memory control signal. In this way, the system clock frequency is reduced to the sample rate. The datapath is designed in the standard ASIC design methodology without any special interfacing logic.

Chapter 1

INTRODUCTION

The increasing demand for low power dissipation has been driven by a growing class of portable, battery-powered applications that demand ever-increasing functionality and battery life. Power dissipation plays the most important role in the design and implementation of many, if not all, of these applications due to the contingent requirements on battery dimension and weight. Traditionally, Nickel-Cadmium batteries had been used in most applications that require rechargeable batteries. Nickel-Metal Hybrid (Ni-MH) and Lithium-Ion batteries recently became more popular batteries for portable applications for their improved energy density and reduced toxic heavy metals [52]. The energy capacity of batteries has been improving over the last two decades, but at a very slow pace [22]. Moreover, the energy stored in a battery cannot be extracted to the full extent due to the strong dependence of the energy capacity on the mean value of the discharge current as well as the portion of energy that is wasted by the DC/DC converter [52]. With a projection of this slow pace and limitation of battery technology, unless low-power approaches are adopted in various aspects of systems, current and future portable devices will suffer significantly from either very short battery life or unreasonably heavy battery packs.

Many of these battery-powered devices perform digital signal processing (DSP) functions [50], including FIR/IIR (finite/infinite impulse response) filtering [41][44][45], CODEC (coding and decoding) [58][1], DCT/IDCT (discrete cosine transform) [11][71], and FFT (fast Fourier transform) [12][31]. In these devices, maintaining a given level of computation or throughput is a common concept, in which there is no advantage in performing the computation faster than a given rate since the hardware will simply have to wait until further computation is required. This is in sharp contrast to general-purpose processing, where the goal is often to provide the fastest possible computation without bound [18]. This enables a variety of techniques that lower power dissipation while maintaining a constant throughput.

Based on these constraints, the motivation for this research is to investigate practical low-power circuit solutions for battery-powered DSP devices to increase the battery life at a given throughput.

1.1 Background of low-power CMOS digital design

The sources of power dissipation in CMOS circuits can be classified as dynamic, short circuit and leakage power [17][57]. For most CMOS designs, the dynamic power dissipation is the main source of power dissipation and is given by

$$P = f \cdot \alpha_{0 \to 1} \cdot C_L \cdot V_{DD}^2$$
 Eq. 1

where $\alpha_{0\to 1}$ is the switching activity of the signals involved, C_L is the load capacitance, V_{DD} is the supply voltage level of the system and *f* is the average data

rate, which is usually the clock frequency in a synchronous system [17]. This equation suggests that there are three degrees of freedom in the low-power design space: voltage, physical capacitance, and switching activity [51]. To reduce these fundamental elements of power dissipation, all levels of the design hierarchy can be approached. The following sections briefly summarize the power dissipation optimization at each level of the design hierarchy.

1.1.1 Technology

As shown in Eq. 1, dynamic power dissipation is quadratically proportional to the supply voltage. Therefore, reducing the supply voltage is the most effective means of minimizing the power dissipation. However, lowering V_{DD} for a given technology leads to lower performance. In particular, as V_{DD} approaches the transistor threshold voltage, the performance of the system decreases exponentially. The most popular technology optimization for low power dissipation is to reduce the threshold voltage of the device [64]. Reducing the threshold voltage allows the supply voltage to be scaled down without loss of performance. There is a limit for the threshold voltage scaling due to the increase in the subthreshold currents as the threshold voltage scales down. Moreover, the reduced noise margin may adversely affect the system stability. Therefore, the optimal threshold voltage must compromise between improvement of current drive at low supply voltage operation and control of the subthreshold currents and noise margin.

1.1.2 Circuit and logic

Contrary to the technology optimization where reducing the supply voltage level is a major goal, at the circuit and logic levels, all three elements (voltage, physical capacitance and switching activity) are considered to reduce power dissipation. A myriad of low-power approaches has been proposed at this level and some representative works are summarized as follows.

A. Low voltage swing circuit design

At a given supply voltage, the outputs of full-swing CMOS gates make rail-to-rail transitions. In low voltage swing circuit design, power dissipation is reduced by limiting the voltage swing on the output node [74][23]. However, care must be taken to ensure reduced swing nodes do not lead to increased static power dissipation. In particular, interfaces with conventional gates require special receivers to convert low swing input to full swing output, which may incur large circuit overheads. To limit this overhead, low voltage swing techniques target only high-capacitance nodes such as data buses.

B. Gated clocking for logic level power-down

In synchronous designs, the logic between registers is continuously computing every clock cycle based on its new inputs. To reduce the power in synchronous designs, it is very effective to minimize switching activity by powering down logic blocks when they are not performing useful operations. Self-timed or asynchronous circuits have an inherent power-down feature for unused blocks, since transitions occur only when requested [40]. However, generation of completion signals indicating the outputs of the logic block are valid generally requires additional circuit overhead. In synchronous designs, this can be done using gated clocking techniques that enable registers only when necessary [48][14][70].

C. Energy-recovery and adiabatic circuit design

In energy-recovery circuit design [57][10], circuit energy that would otherwise be dissipated as heat is instead conserved for later reuse. This is a completely different approach from other conventional techniques where the goal is to minimize the energy delivery that will be completely dissipated as heat. The DC power supply is replaced with an AC power source to enable bidirectional energy delivery. Clock signals generated by resonant circuits have been widely adopted as the cheapest source of AC power for these applications [7].

In adiabatic circuit design [17][6], on the other hand, slowing down the charge transfer between nodes reduces the power dissipation due to the resistance of the switches usually implemented as transistors. These two techniques are commonly combined to maximize energy efficiency [9][8].

D. Other methods

Other circuit and logic minimization techniques include

- High-efficiency DC/DC conversion circuit design [5][62]
- Logic minimization and technology mapping [33][65][72]
- Transistor sizing and logic manipulation [18][17]

1.1.3 Architecture

As we scale down the supply voltage for low power dissipation, the performance of a device decreases due to the reduced conduction current of transistors. One way to maintain throughput while reducing the supply voltage is to utilize a parallel architecture, either using hardware duplication or pipelining [18][17]. The amount of parallelism needed to achieve a given throughput depends on the level of the reduced supply voltage. However, as the supply voltage approaches the threshold voltage, the degradation in performance increases dramatically and the overhead associated with parallel architectures increases overall power. The optimum voltage can be found where further reduction in the supply voltage makes the power dissipation increase.

The area overhead of pipelining can be much smaller than the hardware duplication approach, since only stage registers have to be added instead of complete hardware duplication. However, partitioning into several pipeline stages for some hardware requires more pipeline registers to accommodate the intermediate signals. Clearly these two approaches can be used simultaneously. Other architecture driven lowpower techniques are

- Choice of number representation to minimize switching activity [63]
- Reordering input signals [18][17]
- Logic depth balancing to reduce glitching activity [2][2]

1.1.4 Algorithm and system

The choice of algorithm can make a huge impact on the total power dissipation of the system, such as reduction of arithmetic operations and memory accesses by transforming a given algorithm [49]. Other examples at this level include operator reduction [29] and constant propagation [54] [54]. In system-level power optimization, battery design, intelligent power management and OS support for sleep levels are found in many design examples.

1.2 Contributions

As pointed out in the previous section, the algorithm and system levels have the most significant effect on the total power dissipation of the system. However, for a given algorithm and system specification, we must approach other levels of the design hierarchy for further reduction of the power dissipation. In particular, circuit techniques for low power dissipation can have a major impact because some circuits are repeated thousands of times on a chip and many high-capacitance nodes are switching regularly. This dissertation proposes various circuit techniques for memory blocks and a clock network, which are among the major power consuming components in many portable DSP applications. It then presents a case study to show the feasibility of the proposed circuit techniques in a real DSP application and quantifies the improvements compared to traditional designs. The following paragraphs summarize the contributions of this dissertation.

A. Register file design

First, we present a high-speed and low-power register file design. A novel read controller using self-resetting postcharge logic is presented to minimize static power dissipation and to increase voltage scalability for read operations. This circuit technique can be extended to a large SRAM design with a small modification. In addition, the proposed register file design can be easily converted for a self-timed computation environment.

B. Sequential access memory design

Second, we present a sequential access memory design to further optimize power dissipation and performance by replacing decoders with novel sequencers. The sequential access pattern for memory is ubiquitous in many DSP functions like FIR filters and FIFOs. The power dissipation required for address sequencing logic, decoders and drivers for address lines are eliminated by exploiting this characteristic.

C. Harmonic resonant clock generator design

Third, we present an energy efficient clock generator based on the harmonic resonant circuit technique. Significant power dissipation for a clock network can be saved because most of the charges can be recovered by driving the network resonantly. Experimental results are presented to compare with a conventional clock driver, and various characteristics of the proposed circuit are quantified. The circuit performs well in the low to mid clock frequency range with a significant saving in power dissipation.

D. FIR filter implementation

Fourth, a novel FIR filter design is presented as a case study to show the feasibility of the proposed circuit techniques in a real DSP application. A self-resetting data memory is configured such that it generates a stoppable clock that is synchronously started and asynchronously stopped. In this way, the system clock frequency is reduced to the sample rate. The circuit overhead is minimal by utilizing most of existing signals. The datapath is designed in the standard ASIC design methodology without any special interfacing logic.

1.3 Organization

The remainder of this dissertation is organized as follows. Chapter 2 presents the register file design which is the foundation of our memory design techniques

throughout this dissertation. Our sequential access memory design is presented in Chapter 3. Chapter 4 then describes the harmonic resonant clock generator design. The memory-triggered self-timed FIR filter is presented in Chapter 5 as a case study utilizing our proposed circuit techniques in a real DSP application. Chapter 6 presents conclusions and some issues for future research.

Chapter 2

LOW-POWER REGISTER-FILE DESIGN

2.1 Motivation

Register files used in the design of microprocessors or digital signal processors are often implemented as multi-port on-chip SRAMs. For microprocessors, a low-power high-speed register file is important because almost every instruction in all instruction sets requires read and/or write accesses to the register file. In digital signal processors, most of the applications require streaming data operations, which require accesses to a small window of a data stream repeatedly. Therefore, the pursuit of low-power high-speed register file design has lead to numerous design techniques and implementations [36][3][37][46][24]. One prosperous avenue for register file design is to use self-resetting postcharge logic [32][30][4][47]. Selfresetting postcharge logic exploits asynchronous and self-timed circuit concepts without incurring the typical circuitry overhead of asynchronous circuitry. In particular, this technique is highly effective for memory design because dummy memory cells [47][4] and reset inverter chains [32][4] that effectively simulate the actual timing can simplify the completion detection signal generation with a small overhead. However, ensuring design robustness is relatively difficult for this technique because unexpected timing margin errors from process variations can cause failures in functionality, which cannot be overcome by changing the clock

frequency or the supply voltage. As a result, an increased susceptibility to process variations requires significant design effort and sophisticated CAD tools.

We present a novel register file design using self-resetting postcharge logic. Reset inverter chains are replaced with the read controller that implements a hand-shaking protocol. Several benefits arise from using a hand-shaking protocol rather than reset inverter-chains. First, susceptibility to process variations is minimized, and thus design effort can be significantly reduced. Second, static power dissipation is minimized by defining a sequence of control signals such that static currents that typically arise due to overlap between bitline precharging and wordline driving are mostly eliminated. In addition, dynamic power dissipation incurred by the reset inverter chains can be removed. The proposed read controller is triggered by a single clock edge so that it can generate control signals for a register file that uses singlephase or multi-phase clocks. The proposed register file was implemented in 0.5µm CMOS technology for a general-purpose microprocessor [43][9] [61].

2.2 Architecture

Figure 1 shows a block diagram of our proposed three-port $N \ge M$ -bit register file allowing two read and one write accesses simultaneously. A register array, three decoders (two for read and one for write), write drivers, two sense amplifier arrays with latches, flip-flops for address inputs, precharge logic and read/write controllers are shown.



Figure 1: A block diagram of an N x M Register File

To enable a read operation, the read enable signal (RdEn) must be asserted. Then, upon the rising edge of the clock signal, the read decoder enable signal ($rdec_en$) is raised to enable the decoders to assert the wordline signal (rwl[k]) corresponding to the current input read addresses ($raddrA[log_2N-1:0]$, $raddrB[log_2N-1:0]$). This activates the associated memory cells and dummy cell, driving the read bitlines (rbitA[M-1:0], rbitB[M-1:0]) and the dummy bitline (dumbit), respectively. The write operation is similar except the write bitlines (wbit[M-1:0]) are not precharged. The proposed handshaking protocol, which will be explained in the next section, is integrated in the read controller.

2.3 Read timing based on the handshaking protocol

The read controller of the register file has seven micro-operations that constitute a read access of the register file. These are: 1. Wait for detect read request, 2. Enable address decode and disable bitline precharge, 3. Enable wordline, 4. Start memory read and enable sense amplifiers, 5. Detect completion of memory read, 6. Disable wordline and sense amplifier then latch read output, 7. Enable bitline precharge. The flowchart of Figure 2 shows the dependencies between these micro-operations.



Figure 2: Micro-operation dependency flowchart

Maintaining these dependencies ensures the functionality and minimizes static power dissipation mainly caused by the overlap between bitline precharge and memory access. A dummy bitline and dummy memory cells are used to track the latency of reading bitlines and detect the completion of a memory access. The register file is triggered by the rising edge of the clock. However, it can be easily adapted to be triggered by a falling edge of the clock. A timing diagram of the register file is presented in Figure 3 with annotations to specify the corresponding micro-operations in Figure 2.



Figure 3: A Timing diagram of the register file read operation

All signal interactions of read operations are directed by three control signals – *rdec_en*, *sa_en*, *precharge* – with *dumbit* signal and *clock*. Figure 4 shows the block diagram of the read controller, which is composed of three blocks: decoder enable signal, sense amplifier enable signal, and precharge signal generators. By following the sequence shown in Figure 2, interconnections between these blocks can be easily understood. The *rdec_en* signal is triggered by the clock signal, while the *dumbit* signal triggers the *sa_en* signal. The *rdec_en* signal triggers the *precharge* signal. Notice that the *precharge* signal and the *rwln[N-1:0]* signals are completely non-overlapping, suggesting that the static currents between memory cells and precharge

transistors can be eliminated. A detailed circuit implementation will be described in the next section.



Figure 4: A block diagram of the read controller

2.4 Circuits

2.4.1 Static memory cell

Figure 5 shows the three-port SRAM circuit schematic. A single-ended scheme is used both for write and read operation to reduce power dissipation. Write operations for two different values are depicted in Figure 6. A differential mode is used for writing high values on node q while a single-ended mode is used for writing low values. Therefore, the latency for write operations is dependent upon the write data value. However, this latency variation does not constrain the performance because write operations are inherently much faster than read operations in the SRAM design.



Figure 5: A schematic of a three-port SRAM cell



Figure 6: Write operations for two different values on the SRAM cell

2.4.2 Sense amplifier and latch

As mentioned in the previous section, we used a single-ended bitline for both read and write operations to reduce power dissipation. The schematic of the sense amplifier and latch is shown in Figure 7.



Figure 7: A schematic of a sense amplifier and a latch



Figure 8: A timing diagram of the sense amplifier and latch

A tri-state buffer followed by cross-coupled inverters converts and latches dynamic read data. The *rdbit* signal has a slow transition from high to low when the value stored in memory has a high value, as the small NMOS transistor discharges the relatively large bitline capacitance. When the stored value is zero, the precharged

high value on the *rdbit* node is retained. Therefore, instead of connecting this slow signal to the conventional buffer/inverter to convert into the fast full-swing signal, only a PMOS transistor (P2) is connected to the *rdbit* signal. As *rdbit* has only negative going transition, the proposed circuit can eliminate static currents from the slow input slew rate. The NMOS transistor (N1) connected to the *sareset* signal is turned off during read operations. When a read operation is completed, this signal resets the internal node (*drdout*) to zero. The *sareset* signal is generated simply as the inverted form of the *precharge* signal. A timing diagram of the sense amplifier and latch is presented in Figure 8.

2.4.3 Decoder cell

Since the number of address inputs is modest (3-6) in the register file design, a pure single-level dynamic NAND gate is used for decoder cells of the register file. Figure 9 shows a schematic of a four input address decoder cell. To prevent the charge sharing problem resulting from a long NMOS chain, two weak PMOS (P1, P2) transistors are added.

2.4.4 Write driver

A simple tri-state buffer is used for the single-ended write driver circuit as shown in Figure 10.



Figure 9: A schematic of a 4-to-16 address decoder cell



Figure 10: A schematic of a write driver

2.4.5 Flip-flop for address inputs

A normal flip-flop output cannot be used for the dynamic gates since a negative transition of the output during the evaluation phase of the dynamic gate can accidentally discharge the precharged value. A latch works well with the dynamic gate, but more than one clock phase is required. To avoid a multi-phase clocking design and to combine dynamic logic with an edge-triggered input, the flip-flop is designed such that the output of the flip-flop always has a positive-going signal. This can be done by resetting the output of the flip-flop to low at the negative edge of the clock signal.







Figure 12: A modified flip-flop that resets outputs to zero at the negative edge of a clock signal

Figure 11 shows the original flip-flop design based on the sense-amplifier structure used in StrongARM microprocessors [42]. Cross-coupled NAND gates at the output stage hold the latched values during the low phase of the clock signal. By replacing these NAND gates with the cross-coupled NOR gates, we can easily convert this flip-flop to reset the output at the negative edge of the clock signal. The modified flip-flop circuit is presented in Figure 12.

2.4.6 Read controller: rdec_en signal generator

Read operations are initiated by enabling the read decoders. The *rdec_en* signal generated by the circuit shown in Figure 13 starts the evaluation of address decoding. During the low phase of the clock signal, node A is precharged. At the rising edge of the clock, node B is pulled down and the *rdec_en* signal is asserted to high. After the read operation is completed, the *sa_en* signal is asserted by the sense amplifier enable signal generator. At the rising edge of the *sa_en* signal, the *rdec_en* signal resets to low value. The *sa_en* signal can arrive either during high phase or low phase of the clock signal depending on the supply voltage and operating frequency. The proposed circuit is designed such that branch A is turned on only for the positive transition of the *rdec_en* signal and branch B for the negative transition regardless of the clock phase.
2.4.7 Read controller: sa_en signal generator

The *sa_en* signal generator uses the same topology as the sense amplifier circuit shown in Figure 7 except the tri-state buffer in the sense amplifier is replaced by an n-latch circuit as shown in Figure 14. The *dumbit* signal is used to simulate and detect the actual memory access latency. When the *sa_en* signal is asserted by the *dumbit* signal, it is assumed that all other memory accesses are completed.



Figure 13: A schematic of the read controller: a *rdec_en* signal generator



Figure 14: A schematic of the read controller: a sa_en signal generator

2.4.8 Read controller: precharge signal generator

Figure 15 shows the schematic of the *precharge* signal generator. To eliminate static power dissipation, we must disable the bitline precharging before the read operation and enable again right after the read operation is completed. The *rdec_en* signal is used to disable the bitline precharging since the rising edge of this signal is the first transaction of the read operation. As suggested in Figure 3, the completion of the read operation can be defined by the falling edge of the *sa_en* signal. Therefore, the *sa_en* signal is connected to one of the PMOS transistors to restart the bitline precharging.



Figure 15: A schematic of the read controller: a precharge signal generator

2.4.9 Read timing

Figure 16 summarizes the signal transitions for a read operation. Each transition is identified and numbered in the increasing order of operation sequence. Transitions with the same number are concurrent.



Figure 16: Signal transitions of a read operation

2.5 Performance and power simulation results

The proposed register file was designed and implemented in a 0.5- μ m technology for a general-purpose microprocessor and a hearing-aid feedback cancellation processor. Extensive PowerMill and HSPICE simulations were performed to measure the worst-case power dissipation and access time of the register file. Three different configurations - 8 x 32b, 16 x 32b, 32 x 32b - were designed. Figure 17 shows the worst-case delay of three register files at various supply voltages.



Figure 17: Worst-case delay of the register files

As shown in Figure 17, the worst-case delay of the 8 x 32b register file has the smallest delay for all supply voltages. The difference of the worst-case delay increases as the supply voltage decreases. The simulated maximum frequency for the

three register file configurations was measured as 30MHz (8 x 32b), 24MHz (16 x 32b) and 19MHz (32 x 32b) at 1.2V supply voltage respectively. At 3.3V supply voltage, the maximum frequency was measured as 300MHz, 250MHz and 222MHz, respectively. Contrary to a conventional register file design where bitline precharging is controlled by the clock such that half of the clock cycle is wasted for the precharging operation, HSPICE simulation shows that only 10-15% of the clock cycle is consumed for bitline precharging in the presented register file design. This in turn gives better voltage scalability for high-performance applications.

We measured the worst-case power dissipation at each supply voltage and maximum frequency by using the following input patterns.

- Read Addresses: $00...0 \rightarrow 11...1$
- Write Addresses: $00...0 \rightarrow 11...1$
- Write data: $00...00 \rightarrow 11...11$
- Read data: $00...00 \rightarrow 11...11$

Power dissipation for the worst-case pattern was measured from PowerMill simulation. Table 1 summarizes the power dissipation results at the maximum frequency.

			0 (n)					
VDD	1.2V	1.5V	1.8V	2.1V	2.4V	2.7V	3.0V	3.3V
f_{max}	30MHz	74MHz	125MHz	167MHz	200MHz	250MHz	286MHz	300MHz
Power (mW)	0.84	3.17	7.88	14.30	24.40	38.23	55.31	71.93
E(op (pJ))	27.9	42.8	63.4	85.8	122.0	152.9	193.6	239.8
Ceff (pF)	19.38	19.02	19.45	19.45	21.18	20.97	21.51	22.02
			(b) 16	(b) 16 x 32-b register file	r file			
VDD	1.2V	1.5V	1.8V	2.1V	2.4V	2.7V	3.0V	3.3V
f_{max}	24MHz	63MHz	100 MHz	133MHz	167MHz	200MHz	222MHz	250MHz
Power (mW)	0.902	3.816	8.494	16.235	26.620	41.590	58.119	81.071
E/op (bJ)	37.58	60.57	84.9	122.1	159.4	208.0	261.8	324.3
Ceff (pF)	26.10	26.92	26.20	27.687	27.673	28.53	29.09	29.78
			(c) 32	(c) 32 x 32-b register file	r file			
VDD	1.2V	1.5V	1.8V	2.1V	2.4V	2.7V	3.0V	3.3V
f_{max}		50MHz	83MHz	111MHz	143MHz	167MHz	200MHz	222MHz
Power (mW)	1.109	4.795	11.464	21.443	35.532	54.394	81.021	109.421
E/op (bJ)		94.89	137.57	192.99	248.72	326.37	405.11	492.40
(Jeff (nF)			21 01		10 10		15 01	

Table 1: Worst-case power dissipation of the register files at the maximum clock frequencies for a give supply voltage

The effective capacitance in the table is calculated by the following equation.

$$P = I \cdot V_{DD} = f_{CLK} \cdot C_{eff} \cdot V_{DD}^{2}$$

$$C_{eff} = P / (f_{CLK} \cdot V_{DD}^{2})$$

Eq. 2

Simulation results show that this value is relatively independent of the clock frequency and the supply voltage, suggesting that the static power dissipation is virtually eliminated. A small increase in the effective capacitance was observed as the supply voltage increases due to the increased voltage region where both PMOS and NMOS transistors are turned on simultaneously. Another HSPICE simulation result shows that the standby current was less than 0.1uA for all operating conditions. Figure 18 shows the relative read power dissipation for each functional block of three register file configurations.



Figure 18: Relative power dissipation of the read operation

One thing to notice in the above graph is that the relative power dissipations for precharging bitlines and driving address lines increase as the size of the register file increases. The relative power dissipation for the controller decreases because most of the control signals drive the same bit width. In the next chapter, we will explore a sequential access memory design where the power dissipation for driving the address lines can be eliminated.

2.6 Implementation: DC-2 32-bit general-purpose microprocessor

The proposed 32 x 32-b register file was integrated in the DC-2 32-bit generalpurpose microprocessor and fabricated in 0.5-µm CMOS technology. Due to the contingent pin requirement, separate power and speed measurements of the register file were not performed. Lab measurements show the chip is functional across a voltage range between 1.2V and 3.6V. The chip microphotograph is presented in Figure 19.



Figure 19: A microphotograph of the DC-2 microprocessor

Chapter 3

LOW-POWER SEQUENTIAL ACCESS MEMORY DESIGN

3.1 Motivation

In many DSP applications a large fraction of the power is consumed in memory accesses [59]. Numerous general-purpose low power and high performance SRAMs have been proposed, mostly for high-speed designs [37][32]. Self-resetting circuits triggered with matched delay lines implemented with dummy memory cells [30][4][47] often yield relatively low-power and high-speed by limiting the power dissipation in the bitlines and reducing precharge time as shown in the previous chapter. A few designs have been proposed for low-power memories with special emphasis on reduction of leakage current for low-threshold voltage devices [35][41]. In many DSP applications SRAM designs do not require random access and often have strictly sequential read and/or write access patterns. In particular, programmable FIR filters read/write coefficients and data in a first-in first-out pattern [41]. The naïve implementation of such structures involves the movement of data samples each clock cycle using shift registers. However, for low-power implementations, the memory can be configured as a circular buffer (with a sequential access pattern) in which pointers rather than data are moved [68]. In addition, for many digital communication channel decoders, interleavers that are used to store and re-organize large blocks of data samples can be designed with

memories that support random write and sequential reads (or vice versa). Moreover, sequential access of intermediate data within many channel decoders, including Fano decoders [60] and turbo decoders [39], is also typical. In all these cases, the naïve implementation involves using SRAMs despite the fact that the architecture often accesses data sequentially. This motivates the design of sequential access memories to eliminate the power dissipation for address decoding.

This chapter presents a novel sequential access memory (SAM) design where address sequencing logic and decoders are replaced with row sequencers to achieve high speed and low power. Most of the control signals are generated using efficient sequencer cells that communicate primarily with neighboring rows only, minimizing the power dissipation of wordline selection. When combined with typical bank structures that limit the amount of switched bit-line capacitance of large memories and efficient self-resetting postcharge logic, power dissipation is largely independent of memory size. This is in sharp contrast to conventional SRAM designs.

A test chip was fabricated in 0.25-µm CMOS technology to evaluate this design. The chip contains two different dual-port (one read port and one write port) SAM configurations: one 16x16-b and one 64x16-b, consisting of four 16x16-b banks. The chip has been tested and is fully functional at operating voltages of 0.67V to 2.5V. The power dissipation of both SAMs was measured at different voltages and operating frequencies and found to be within 5% of each other, demonstrating that power dissipation is largely independent of memory size. With a clock frequency of

40MHz at 1.2V, the measured worst-case read power dissipation for the 16x16-b SAM is 344μ W and for the 64x16-b SAM is 358μ W.

3.2 Architecture

Figure 20 shows a block diagram of our proposed dual-port *NxM*-bit SAM allowing simultaneous read and write accesses. Two sequencers, one for read accesses and one for write accesses, are shown as well as controllers and I/O circuitry. Two reset sequencer signals (*RdRst*, *WrRst*) are asserted to independently initialize the read and write sequencers to point to the first row.



Figure 20: A block diagram of an N x M SAM

To enable the read operation, the read enable signal (*RdEn*) must be asserted. Then, upon the rising edge of the clock signal, the read sequencer enable signal (*rseq_en*) is raised which triggers the sequencer cell associated with the current pointer location to assert its associated wordline signal (*rwl[k]*). This activates the associated memory cells and dummy cell, driving the read bitlines (*rbit[M-1:0]*) and the dummy bitline (*dumbit*), respectively. The sequencer cell also asserts a trigger signal (*rtrig[k+1]*) which is combined with the *rseq_en* signal to activate the next sequencer, moving the current pointer location to the next row. The reset of the current sequencer is triggered by the assertion of the next wordline. The write operation is similar except the write bitlines (*wbit[M-1:0]*) are not precharged.



Figure 21: A block diagram of a 64x16-b SAM composed of four 16x16-b SAM banks

For large *N*, driving long bitlines leads to increased power dissipation and latency. A memory bank structure can be easily applied to this SAM structure because most of the control signals are locally generated. Figure 21 shows a block diagram of a 64x16-b SAM composed of four 16x16-b SAM banks. The banks are daisy-chained so that the current bank generates a trigger signal to enable the next bank when a sequencer pointer has reached the last row in the current bank. In the subsequent cycle, the first wordline of the next bank is fed back to reset the trigger signal asserted in the previous cycle. Tri-state buffers are used for I/O circuitry of each bank so that only one of the banks is connected to the common input/output buses.

3.3 Circuits

3.3.1 Sequencer cell

Figure 22 and Figure 23 show a schematic of the sequencer cell and its timing diagram. Initially, for the current sequencer cell trig[k] is high while all other trigger signals are low. In addition, both triggen and wl[k] are low. Upon the assertion of the sequencer enable (seq_en), the wordline signal (wl[k]) is asserted via a dynamic AND gate. When seq_en is de-asserted, wl[k] is de-asserted and a short pulse (triggen) is generated by a NOR gate to assert the subsequent trigger signal (trig[k+1]), using a jam-latch (pulse-to-level converter). Notice that trig[k+1] is asserted approximately three gate delays after seq_en goes low to avoid two wordline signals being activated simultaneously. The trig[k+1] signal is reset by the assertion of the wordline signal wl[k+1] at the next read cycle.



Figure 22: A schematic of the sequencer cell



Figure 23: A timing diagram of the sequencer cell

To reset the SAM, the trig[0] signal should be the only asserted trigger signal. Thus, all sequencer cells except the last sequencer cell, should have a reset NMOS transistor controlled by the *Rst* signal attached to the jam-latch as shown in Figure 22. In contrast, the last sequencer should have the reset transistor attached to the same side of the jam-latch as *trigen* to assert trig[0].

3.3.2 Bank sequencer

In conventional banked memory designs, the current memory bank is enabled directly by the address decoder. For the proposed SAM design, however, the active memory bank should notify the next memory bank as soon as read and/or write operations are completed in the current bank. A special bank sequencer, shown in Figure 24, is attached to the read/write controllers to achieve this goal.



Figure 24: A schematic of the bank sequencer

It is triggered by the last trigger signal ($trig_start$) of the previous bank and reset by the first wordline signal ($trig_end$) of the next bank. The bank trigger signal ($bank_trig$) is combined with the global read or write enable signal (RdEn/WrEn) to generate the bank enable signal ($bank_en$). The timing diagram of the bank sequencing circuit is depicted in Figure 25. Note that, the first bank sequencer doesn't have the reset transistor so that it is enabled by trig[0] from the last bank while all other banks are disabled by the reset signal (*RdRst/WrRst*).



Figure 25: A timing diagram of the bank sequencer

3.3.3 Sequencer cell

Three control signals - *precharge*, *sa_en*, *rseq_en* - are generated by the read controller. Self-resetting postcharge bit-lines [30][4] are used to limit the power dissipation and reduce precharge time. This, in turn, improves voltage scalability by enabling the use lower supply voltages while still meeting desired access times. Notice that the controller has no dependency on the falling edge of the clock signal so that read operations can be completed any time within the clock cycle. In particular, a larger portion of the clock cycle time can be used for the read operation plus a significant amount of subsequent combinational logic. Notice that like some other designs [30][4][47] we used dummy memory cells to simulate the bit-line

discharge timing to simplify the control signal generation. Figure 26 and Figure 27 show the circuit and its corresponding timing diagram.







Write operations are processed during the high phase of the clock and are enabled by the assertion of *wseq_en* when the associated bank enable signal (*wbank_en*) is asserted. To implement this, the write controller uses a standard dynamic flip-flop with input *wbank_en* and large output buffers that drive *wseq_en*.

3.3.4 Overall read operation summary

Figure 28 summarizes the signal transitions for a read operation. Each transition is identified and numbered in increasing order of operation. Transitions with the same number are concurrent.



Figure 28: Signal transitions of the read operation

3.4 Test chip and measurement results

A test chip was fabricated in the TSMC 0.25- μ m n-well CMOS process offered through MOSIS. A microphotograph of the test chip is shown in Figure 29. Three metal layers are used for the memory core while all five metal layers are used for I/O pads. The 16x16-b and 64x16-b SAMs occupy an area of 197.3 μ m x 131.90 μ m and 366.2 μ m x 265.4 μ m, respectively. Table 2 summarizes the characteristics of the process technology and test chip.



Figure 29: A microphotograph of the test chip

T = 1 = 1	0.25-µm n-well CMOS logic process
Technology	(5-level metal layers, single poly)
Threshold Voltage	0.51V (NMOS), -0.52V (PMOS)
FO4 delay	174ps
~	1
Number of Transistors	24361
Operating Voltage	0.67-2.5V (core), 1.5V-3.3 (I/O)
1 0 0	
Die Size	4.26mm ²

Table 2: Summary of the process technology and the test chip

The minimum operating core supply voltage was measured to be 0.67V with a corresponding maximum frequency of 34MHz. Figure 30 and Figure 31 show the measured worst-case power dissipation of two SAMs (16x16-b and 64x16-b) for read and write operations for a variety of supply voltages and frequencies. Note that due to the limitations of available test equipments, testing at frequencies higher than 40MHz was not possible.

The measured power dissipation for the 64x16-b SAM read operation is 358μ W (8.95pJ*40MHz) at 40MHz and 1.2V and 344μ W (8.59pJ*40MHz) for the 16x16-b SAM. Power dissipation for write operations is higher than read operations (416 μ W for the 64x16-b SAM, 396μ W for the 16x16-b SAM). The average power dissipation was also measured using random vectors with simultaneous read and write operations. The measured average power dissipation for the 64x16-b SAM is 517μ W at 40MHz and 1.2V and 496μ W for the 16x16-b SAM. The differences in power dissipation between these two SAMs are less than 5% for all conditions. The independence of energy per operation with respect to frequency in the above graphs suggests that there is negligible static current in the proposed design.



Figure 30: Measured power dissipation of the worst-case read operations



Figure 31: Measured power dissipation of the worst-case write operations

For both operations, additional measurements suggest that approximately one third of the power dissipation is consumed by the input and output flip-flops connected to I/O pads. In addition, the measured standby current was negligible (less than 0.1μ A).

Chapter 4

LOW-POWER CLOCK GENERATION CIRCUIT USING HARMONIC RESONANCE

4.1 Motivation

Low power has become a critical feature of many CMOS VLSI systems because of the increasing demand for a longer battery life and the high costs of heat removal. Because clocking circuitry is typically a significant source of power dissipation [1], reducing the power consumed by clock drivers and clock nets has become an important focus. Because clock nets are mostly capacitive, resonant charging techniques that recycle most of the energy stored in clock nets are increasingly promising. The simplest resonant charging technique uses the flyback circuit shown in Figure 32 to generate a sinusoidal clock signal [2]. Although simple, if the NMOS transistor is driven non-resonantly, which has generally been the case, the energy efficiency of this clock driver is poor. The blip circuit [3], illustrated in Figure 33, has much higher efficiency because it is all-resonant, i.e., the energy used to drive every transistor is recycled. This circuit successfully has been used as an efficient power source for drivers of large on-chip signal lines of microprocessors [2], [4] but can also be used to generate two-phase almost-non-overlapping sinusoidal clocks.



Figure 32: A single-rail resonant clock driver (Flyback circuit)



Figure 33: All-resonant blip driver

A common disadvantage of both these clock drivers is that the output signal frequency and magnitude depend heavily on the load capacitances $C\varphi$. Because the value of $C\varphi$ may be data-dependent and can thus vary from cycle to cycle, the clock frequency may also fluctuate, thereby decreasing performance and increasing design effort [5]. Of the two drivers, the frequency fluctuation in the blip driver is more pronounced because of the positive-feedback nature of the two outputs. Another disadvantage of both of these drivers is the need for a distinct DC power supply V_{dc} whose value is determined by the load capacitance and the target frequency.

Lastly, while sinusoidal clock signals are well-suited for special *adiabatic* circuits [6], [7], the slow slew rates cause two problems for conventional clock nets. In particular, while adiabatic circuits have special circuitry that prevents the slow slew

rates from causing high short-circuit current, conventional clock buffers, flip-flops, and latches do not have these features and thus have relatively fast clock slew rate requirements. Secondly, slow slew rates cause increased variations on effective clock skew and clock-output delay which may considerably affect potential performance and system stability.

Younis and Knight [8] developed an incremental design approach for a class of efficient harmonic rail drivers that solves these problems. Their drivers approximate a desired square wave (with 50% duty cycle) by superpositioning its first n harmonics, as illustrated by the 3rd-order driver in Figure 34. These drivers, however, require n distinct DC power supplies, which is prohibitive for most practical implementations.



Figure 34: A harmonic resonant rail driver containing three harmonic terms.

In this paper, we present a new systematic design approach for n^{th} -order harmonic resonant rail drivers that do not require additional DC power supplies. Linear network theory is normally applied to predict the waveform generated by a network of passive components. Our design approach applies it for the inverse problem. That is, we use linear network theory to systematically derive a network of passive components that generates n^{th} -order approximations of any given desired clock waveform with 50% duty cycle that can be expressed as a periodic trapezoid. In this way, we can achieve approximations of both ideal square waves and more practical waveforms with finite rise and fall times. In particular, we use linear network theory to develop a non-iterative method for calculating the component values given the desired waveform shape and the nominal value of the load capacitance.

The topology of our proposed driver is based on a modified current-fed voltage pulse-forming network [9]. This network is traditionally connected to a constant current source, which internally consumes significant power. In contrast, we propose using a conventional pulse generator that consumes much less internal power and is readily available in most systems. Moreover, it requires no additional distinct DC voltage/current supply and reduces the impact of variations in load capacitance on fluctuations in output magnitude and frequency. Self-oscillating resonant circuits such as flyback and blip circuits cannot be trivially synchronized to an external clock signal connected to other blocks in the system. However, this can be easily achieved in our design because it is driven by an external pulse generator.

Our proposed design approach has been implemented and tested for frequencies up to 15MHz with various load capacitances. The worst-case overall power dissipation of the 2nd-order driver is 19% of fC_LV^2 at 15MHz with a 97.8pF load. Magnitude and frequency fluctuation due to a broad range of load capacitances variation are observed to be minimal. In addition, the power efficiency as a function of load capacitance and input pulse frequency variations is quantified.

The remainder of this chapter is organized as follows. In Section 2, we briefly review the theory of waveform synthesis using current-fed voltage pulse-forming networks. Section 3 describes our systematic approach to identify the value of all driver components. Then, Section 4 discusses practical implementations, Section 5 presents laboratory measurement results, and Section 6 concludes with a discussion of potential applications and future work.

4.2 Current-fed voltage pulse-forming network

This section reviews standard implementations of Fourier series approximations of periodic trapezoidal waveforms using current-fed voltage pulse-forming networks.

A trapezoidal-wave v(t), shown in Figure 35, can be defined by the following timedomain equations.



Figure 35: A trapezoidal-wave voltage signal with slope $V_O/(2*\delta T)$.

$$v(t) = \begin{cases} \frac{V_0}{2} \frac{t}{\delta T}, & 0 \le t \le \delta T \\ \frac{V_0}{2}, & \delta T \le t \le T/2 - \delta T \\ \frac{V_0}{2} \frac{T/2 - t}{\delta T}, & T/2 - \delta T \le t \le T/2 \end{cases}$$
Eq. 3
$$v(t) = -v(-t)$$
$$v(t + kT) = v(t) \text{ where } k \text{ is integer}$$

Because the trapezoidal waveform v(t) is an odd function, the Fourier series for v(t) contains only sine terms as follows:

$$v(t) = \sum_{k=1,3,\dots}^{\infty} b_k \sin \frac{2\pi kt}{T}$$
 Eq. 4

where

$$b_{k} = \frac{4}{T} \int_{0}^{\frac{T}{2}} v(t) \sin \frac{2\pi kt}{T} dt$$

= $\frac{2V_{0}}{k\pi} \frac{\sin 2\pi k\delta}{2\pi k\delta}$, where $k = 1, 3, ...$ Eq. 5

In practice, only the first few terms are needed to yield a waveform that closely approximates an ideal trapezoidal-wave. Notice that the model approximates a square-wave as δ becomes zero.



Figure 36: Current-fed voltage pulse-forming network (CFVPN).

A current-fed voltage pulse-forming network (CFVPN) that can generate an output voltage v(t) consisting of the superposition of *n* harmonics is shown in Figure 36 [9]. To analyze v(t), first assume that switch S opens at t=0 and there is no energy initially stored in the network. The voltage across the *k*-th *LC*-section is shown in Eq. 6.

$$v_k(t) = I_{DC} \sqrt{\frac{L_k}{C_k}} \sin \frac{t}{\sqrt{L_k C_k}}$$
Eq. 6

Cascading *n* such *LC*-sections in series yields the following equation for v(t).

$$v(t) = \sum_{k=1,3,...}^{2n-1} I_{DC} \sqrt{\frac{L_{k}}{C_{k}}} \sin \frac{t}{\sqrt{L_{k}C_{k}}}$$
Eq. 7

With this analysis, it is straightforward to determine the values of all network components to approximate a trapezoidal waveform defined by Eq. 4 and Eq. 5. In particular, by comparing Eq. 7 with Eq. 4, the values of b_k , L'_k , C'_k for both square and trapezoidal waveforms can be easily determined, as summarized in Table 3. As is, however, this network cannot be directly used as a clock rail driver because none of the capacitances in the network represents a load capacitance that resides between the output node and ground. To meet this requirement, an equivalent network can be derived through mathematical transformations of impedance and admittance functions of the output, as shown in the following equations.

Table 3: Component Values for Network of Figure 36

	Square-Wave	Trapezoidal
b_k	$\frac{2V_0}{k\pi}$	$\frac{2V_0}{k\pi}\frac{\sin 2\pi k\delta}{2\pi k\delta}$
L_k	$\frac{V_0T}{\pi^2k^2I_{DC}}$	$\frac{V_0 T}{\pi^2 k^2 I_{DC}} \left(\frac{\sin 2\pi k\delta}{2\pi k\delta}\right)$
C_k	$\frac{I_{DC}T}{4V_0}$	$\frac{I_{DC}T}{4V_0} \left(\frac{\sin 2\pi k\delta}{2\pi k\delta}\right)^{-1}$

$$Z(s) = \sum_{k=1,3,\dots}^{2n-1} \frac{\underline{L}_{k}s}{\underline{L}_{k}C_{k}s^{2} + 1}$$
Eq. 8
$$Y(s) = \frac{1}{Z(s)} = \frac{\prod_{k=1,3,\dots}^{2n-1} (\underline{L}_{k}C_{k}s^{2} + 1)}{\sum_{\substack{k=1,3,\dots\\i \neq k}}^{2n-1} \underline{L}_{k}s\prod_{\substack{i=1,3,\dots\\i \neq k}}^{2n-1} (\underline{L}_{i}C_{i}s^{2} + 1)}$$
Eq. 9

Notice that the impedance function Z(s) has zeroes at s=0 and $s=\infty$, which in turn appear as poles in the admittance function Y(s). We therefore can rewrite Eq. 9 as follows.

$$v(t) = \sum_{k=1,3,\dots}^{\infty} b_k \sin \frac{2\pi kt}{T}$$
 Eq. 10

where

$$A_0 = \frac{1}{L_0}, A_n = C_L, A_k = C_k, B_k = C_k L_k$$
 Eq. 11

and the values for C_L and L_0 are determined as follows:

$$L_{0} = \frac{1}{A_{0}} = \lim_{s \to 0} \frac{1}{Y(s)s} = \lim_{s \to 0} \frac{Z(s)}{s} = \sum_{k=1,3,\dots}^{2n-1} L_{k}'$$

$$\frac{1}{C_{L}} = \frac{1}{A_{n}} = \lim_{s \to \infty} \frac{s}{Y(s)} = \lim_{s \to \infty} sZ(s) = \sum_{k=1,3,\dots}^{2n-1} \frac{1}{C_{k}'}$$

Eq. 12

This transformation enables Y(s) to be generated using the alternative circuit topology illustrated in Figure 37, which is now suitable as a clock rail driver because it has an explicit clock load capacitance C_L that lies between the output and ground.



Figure 37: An equivalent network of Figure 36 containing a capacitance CL that can represent an on-chip clock load.

To find the values of other components of Figure 37, we can use a partial fraction expansion of the admittance function Y(s) [10], which is an iterative numerical procedure that provides little insight into the operation of the network. In the following section, we present a characteristic equation that constrains component values so that only desired frequency components are produced in the network and

together with a set of linear equations provides a more insightful closed-form expression for component values.

4.3 Theoretical analysis of current-fed voltage pulse-forming network

There are three steps in our theoretical and algorithmic analysis of the CFVPN and its desired component values. First, we convert all of node voltage and branch current equations from time-domain to frequency-domain using the Laplace transform. Secondly, the branch current equations are simplified to find a characteristic equation whose roots are the product of L_k and C_k of each branch such that all unwanted frequency components are suppressed. Using these roots, the third step is to establish a set of linear equations that can be found by applying KCL on the output node to identify all of the inductor values in the network. These values are combined with the roots of the characteristic equation to identify all of the capacitor values.

A. Step 1: Convert voltage and current equations to frequency domain representation

To ease the tedium and complexity of solving the integral and differential equations, we use the Laplace transform to convert voltage and current equations into the frequency-domain. Since two networks shown in Figure 36 and Figure 37 are equivalent, we write the Laplace transform of the output voltage v(t) of Figure 37 by approximating Eq. 4 to the n^{th} -order, i.e.,

$$V(s) = \omega_0 \left(\frac{b_1}{s^2 + \omega_0^2} + \dots + \frac{b_{2n-1}(2n-1)}{s^2 + (2n-1)^2 \omega_0^2} \right)$$

, where $\omega_0 = \frac{2\pi}{T}$ Eq. 13

By noting that voltages across all of the branches in Figure 37 equal v(t), it is straightforward to derive the Laplace transform for each branch current as follows.

$$I_{C_{L}}(s) = C_{L}\omega_{0} \left(\frac{b_{1}s}{s^{2} + \omega_{0}^{2}} + \dots + \frac{b_{2n-1}(2n-1)s}{s^{2} + (2n-1)^{2}\omega_{0}^{2}} \right)$$
Eq. 14

$$I_{L0}(s) = \frac{\omega_{0}}{L_{0}} \left(\frac{b_{1}}{s(s^{2} + \omega_{0}^{2})} + \dots + \frac{b_{2n-1}(2n-1)}{s(s^{2} + (2n-1)^{2}\omega_{0}^{2})} \right)$$
Eq. 15

$$= \frac{1}{L_{0}\omega_{0}} \sum_{j=1}^{n} \frac{b_{2j-1}}{(2j-1)} \left(\frac{1}{s} - \frac{s}{s^{2} + (2j-1)^{2}\omega_{0}^{2}} \right)$$
Eq. 15

$$I_{k}(s) = \frac{1}{L_{k}} \frac{s}{s^{2} + \frac{1}{L_{k}C_{k}}} V(s)$$
Eq. 16

$$= \frac{\omega_{0}}{L_{k}} \frac{s}{s^{2} + \Omega_{k}^{2}} \left(\frac{b_{1}}{s^{2} + \omega_{0}^{2}} + \dots + \frac{b_{2n-1}(2n-1)}{s^{2} + (2n-1)^{2}\omega_{0}^{2}} \right)$$
Eq. 16

where

$$\Omega_k = 1/\sqrt{L_k C_k} , \text{ for } 1 \le k \le n-1$$
 Eq. 17

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B. Step 2: Simplify branch current equations and establish the characteristic equation

As shown in Eq. 16, each branch introduces a new free oscillation frequency component at Ω_k . This frequency component is unwanted because the output of the network should have only *n* desired harmonics at ω_0 to $(2n-1)\omega_0$. By simplifying the branch current equation and finding a condition to suppress the frequency component at Ω_k , we can establish the characteristic equation whose roots are *n*-1 distinct Ω_k values and thus the product of L_k and C_k .

To simplify the branch current equation, we can rewrite Eq. 16 as follows.

$$I_{k}(s) = \frac{\omega_{0}}{L_{k}} \frac{s}{s^{2} + \Omega_{k}^{2}} \left(\frac{b_{1}}{s^{2} + \omega_{0}^{2}} + \dots + \frac{b_{2n-1}(2n-1)}{s^{2} + (2n-1)^{2} \omega_{0}^{2}} \right)$$
$$= \frac{\omega_{0}}{L_{k}} \left(\frac{A_{k1}s}{s^{2} + \Omega_{k}^{2}} + \frac{B_{k1}s}{s^{2} + \omega_{0}^{2}} + \dots + \frac{A_{kn}s}{s^{2} + \Omega_{k}^{2}} + \frac{B_{kn}s}{s^{2} + (2n-1)^{2} \omega_{0}^{2}} \right)$$
Eq. 18

By comparing j^{th} -terms, two conditions for A_{kj} and B_{kj} can be found

$$\frac{b_{2j-1}(2j-1)s}{(s^{2}+\Omega_{k}^{2})(s^{2}+(2j-1)^{2}\omega_{0}^{2})}$$

$$=\frac{A_{kj}s}{s^{2}+\Omega_{k}^{2}}+\frac{B_{kj}s}{s^{2}+(2j-1)^{2}\omega_{0}^{2}}$$
Eq. 19
$$b_{2j-1}(2j-1)s = (A_{kj}+B_{kj})s^{3}+(A_{kj}(2j-1)\omega_{0}^{2}+B_{kj}\Omega_{k}^{2})s$$

$$A_{kj}+B_{kj} = 0$$

$$\therefore \qquad A_{kj}(2j-1)^{2}\omega_{0}^{2}+B_{kj}\Omega_{k}^{2} = b_{2j-1}(2j-1)$$
Eq. 20
Eq. 18 can now be simplified by replacing B_{kj} with $-A_{kj}$ and collecting the Ω_k -terms together.

$$I_{k}(s) = \frac{\omega_{0}}{L_{k}} \left(\frac{A_{k1}s}{s^{2} + \Omega_{k}^{2}} + \frac{-A_{k1}s}{s^{2} + \omega_{0}^{2}} + \cdots + \frac{A_{kn}s}{s^{2} + \Omega_{k}^{2}} + \frac{-A_{kn}s}{s^{2} + (2n-1)^{2}\omega_{0}^{2}} \right)$$

$$= \frac{\omega_{0}}{L_{k}} \left(\frac{(A_{k1} + A_{k2} + \cdots + A_{kn})s}{s^{2} + \Omega_{k}^{2}} + \frac{-A_{k1}s}{s^{2} + (2n-1)^{2}\omega_{0}^{2}} \right)$$
Eq. 21

By applying KCL on the output node of the network, the relationship of branch currents can be defined by the equation

$$\sum_{k=1}^{n-1} I_k(s) = \frac{I_{DC}}{s} - I_{C0}(s) - I_{L0}(s)$$
 Eq. 22

Because no Ω_k -term exists in the right side of this equation, the Ω_k -term in each branch current must evaluate to zero, implying the following additional constraint.

$$\sum_{j=1}^{n} A_{kj} = 0$$
 Eq. 23

Eq. 20 and Eq. 23 are combined to produce the characteristic equation shown in Eq. 25,

$$A_{kj}((2j-1)^{2}\omega_{0}^{2} - \Omega_{k}^{2}) = b_{2j-1}(2j-1)$$

$$A_{kj} = \frac{b_{2j-1}(2j-1)}{(2j-1)^{2}\omega_{0}^{2} - \Omega_{k}^{2}}$$
Eq. 24
$$= \frac{2V_{0}}{\pi} \frac{\sin 2\pi(2j-1)\delta}{2\pi(2j-1)\delta} \frac{1}{(2j-1)^{2}\omega_{0}^{2} - \Omega_{k}^{2}}$$

$$\sum_{j=1}^{n} A_{kj} = \frac{2V_0}{\pi\omega_0^2} \sum_{j=1}^{n} \frac{\sin 2\pi(2j-1)\delta}{2\pi(2j-1)\delta} \frac{1}{(2j-1)^2 - x} = 0$$

, where $x = \left(\frac{\Omega_k}{\omega_0}\right)^2$ Eq. 25

Notice that the numerator of the characteristic equation is an order-(*n*-1) polynomial of variable *x*. The roots of this numerator polynomial, α_1 to α_{n-1} , are the roots of the entire characteristic equation, which can be represented as follows.

$$\alpha_1 = \frac{\Omega_1^2}{\omega_0^2}, \alpha_2 = \frac{\Omega_2^2}{\omega_0^2}, \dots, \alpha_{n-1} = \frac{\Omega_{n-1}^2}{\omega_0^2}$$
 Eq. 26

C. Step 3: Setup linear equations to find a set of L_k and combine with the roots of the characteristic equation to find a set of C_k .

Using Eq. 26, we can substitute Ω_k^2 with the product α_k and ω_0^2 in Eq. 24 and use the result to simplify Eq. 22 as follows.

$$\begin{aligned} \frac{I_{DC}}{s} - I_{C_{L}}(s) &= I_{L0}(s) + \sum_{k=1}^{n-1} I_{k}(s) \\ \frac{I_{DC}}{s} - C_{L} \omega_{0} \left(\frac{b_{1}s}{s^{2} + \omega_{0}^{2}} + \dots + \frac{b_{2n-1}(2n-1)s}{s^{2} + (2n-1)^{2} \omega_{0}^{2}} \right) \\ &= \frac{1}{L_{0} \omega_{0}} \left(b_{1} + \frac{b_{3}}{3} + \dots + \frac{b_{2n-1}}{(2n-1)} \right) \frac{1}{s} \\ - \frac{b_{1}}{\omega_{0}} \frac{s}{s^{2} + \omega_{0}^{2}} \left(\frac{1}{L_{0}} + \frac{1}{(1-\alpha_{1})L_{1}} + \dots + \frac{1}{(1-\alpha_{n-1})L_{n-1}} \right) \\ - \frac{3b_{3}}{\omega_{0}} \frac{s}{s^{2} + 3^{2} \omega_{0}^{2}} \times \\ \left(\frac{1}{3^{2} L_{0}} + \frac{1}{(3^{2} - \alpha_{1})L_{1}} + \dots + \frac{1}{(3^{2} - \alpha_{n-1})L_{n-1}} \right) \\ - \dots \\ - \frac{(2n-1)b_{2n-1}}{\omega_{0}} \frac{s}{s^{2} + (2n-1)^{2} \omega_{0}^{2}} \times \\ \left(\frac{1}{(2n-1)^{2} L_{0}} + \dots + \frac{1}{((2n-1)^{2} - \alpha_{n-1})L_{n-1}} \right) \end{aligned}$$

Comparing both sides of Eq. 27, the linear equations shown in Eq. 28 determine the inductor values $L_1, ..., L_{n-1}$. Note that these values can be combined with Eq. 26 to calculate the capacitance values $C_1, ..., C_{n-1}$.

$$\begin{bmatrix} 1 & \frac{1}{1-\alpha_{1}} & \cdots & \frac{1}{1-\alpha_{n-1}} \\ \frac{1}{3^{2}} & \frac{1}{3^{2}-\alpha_{1}} & \cdots & \frac{1}{3^{2}-\alpha_{n-1}} \\ \frac{1}{(2n-1)^{2}} & \frac{1}{(2n-1)^{2}-\alpha_{1}} & \cdots & \frac{1}{(2n-1)^{2}-\alpha_{n-1}} \end{bmatrix} \begin{bmatrix} \frac{1}{L_{0}} \\ \frac{1}{L_{1}} \\ \frac{1}{L_{1}} \\ \frac{1}{L_{n-1}} \end{bmatrix}$$
Eq. 28
$$=C_{L}\omega_{0}^{2} \begin{bmatrix} 1\\ 1\\ \cdots\\ 1 \end{bmatrix}$$

As an example, consider the task of finding the value of all components of the 2ndorder square-wave driver for a 1MHz clock and a 100pF load. From Eq. 25, we have

$$\frac{1}{1-x} + \frac{1}{9-x} = 0$$
Eq. 29
$$\therefore x = \alpha_1 = 5$$

Using this value, we can rewrite Eq. 28 as follows.

$$\begin{bmatrix} 1 & \frac{1}{1-5} \\ \frac{1}{3^2} & \frac{1}{3^2-5} \end{bmatrix} \begin{bmatrix} \frac{1}{L_0} \\ \frac{1}{L_1} \end{bmatrix} = 100 \times 10^{-12} \left(\frac{2\pi}{10^{-6}}\right)^2 \begin{bmatrix} 1 \\ 1 \end{bmatrix}$$
Eq. 30

By solving these equations, we find inductor values, $L_0=140.72$ uH and $L_1=79.16$ uH. Lastly, since $\Omega_1^2 = 5 \alpha_0^2 = 1/L_1 C_1$, it follows that $C_1=64$ pF.

4.4 Voltage-pulse driven pulse-forming network

Even though the CFVPN shown in Figure 37 has an appropriate configuration for our target applications, two problems preclude the network from being directly applied as a clock rail driver. First, a DC current source is required to drive the network that in practice consumes large amounts of power internally, canceling out the benefits of the CFVPN clock rail driver. Second, the waveform swings between $+V_0/2$ and $-V_0/2$ as opposed to 0 and $+V_0$ required for driving CMOS clock nets. We propose a unique solution that overcomes these impediments.

4.4.1 Voltage-pulse driven network for DC current source elimination

In theory, to eliminate the DC current source of the CFVPN we can use the equivalent network shown in Figure 38, which is triggered by a voltage source generating a waveform identical to the desired output that provides no current and thus consumes no power. However, it is impractical to build a voltage source that generates a waveform matching the desired n^{th} -order harmonic voltage waveform. Thus, we propose a solution that uses a more practical voltage pulse whose undesired harmonics are effectively absorbed using a series resistor.



Figure 38: An Equivalent network of Figure 37 driven by a voltage pulse.



Figure 39: Practical approximation of the network shown in Figure 38.

The cheapest source of the voltage pulses is a conventional clock oscillator that, given finite rise and fall times, approximates a trapezoidal wave. The first n harmonics of the trapezoidal waveform should match that of the network. However, the trapezoidal-wave clock signal will also contain higher order harmonics than those generated by the network. This will cause significant current draw from the voltage source, reducing the power efficiency of the proposed rail driver.

We propose to reject these higher order harmonics from the input pulse generator by placing a resistor between the input and output of the network as depicted in Figure 39. To understand the benefits of adding this resistor we first write the impedance function of the original network as follows.

$$Z_{o}(j\omega) = \frac{j\omega L_{1}}{1 - \omega^{2} / \omega_{0}^{2}} + \frac{j\omega L_{3}}{1 - \omega^{2} / 3^{2} \omega_{0}^{2}} + \cdots$$

$$+ \frac{j\omega L_{2n-1}}{1 - \omega^{2} / (2n-1)^{2} \omega_{0}^{2}}$$
Eq. 31

Note that Eq. 31 is the impedance function of the network shown in Figure 36 that is the equivalent network of Figure 37. Then, by adding the resistance R, the overall impedance seen by the input pulse generator is,

$$Z_{i}(j\omega) = R + Z_{o}(j\omega)$$

= $R + \frac{j\omega L_{1}}{1 - \omega^{2} / \omega_{0}^{2}} + \frac{j\omega L_{3}}{1 - \omega^{2} / 3^{2} \omega_{0}^{2}} + \dots + \frac{j\omega L_{2n-1}}{1 - \omega^{2} / (2n-1)^{2} \omega_{0}^{2}}$ Eq. 32

The transfer function $A(j\omega)$ of the network, represented as the ratio of impedance $Z_i(j\omega)$ and $Z_o(j\omega)$, is as follows:

$$\frac{V_o(j\omega)}{V_i(j\omega)} = A(j\omega) = \frac{Z_o(j\omega)}{Z_i(j\omega)}$$

$$= \frac{j\omega L_1'}{1 - \omega^2 / \omega_0^2} + \dots + \frac{j\omega L_{2n-1}'}{1 - \omega^2 / (2n-1)^2 \omega_0^2}$$
Eq. 33
$$= \frac{jz_0}{R + jz_0}, \text{ where } z_0 \text{ is real}$$

where $Z_o(j\omega) = jz_0(\omega)$. The magnitude and phase shift of this transfer function can then be expressed as follows.

$$|A(j\omega)| = \frac{|z_0|}{\sqrt{R^2 + z_0^2}}$$
Eq. 34
$$\angle A(j\omega) = \angle jz_0 - \angle (R + jz_0)$$

Thus, for all frequencies other than the harmonic frequencies (where z_0 is finite), the magnitude approaches 0 and the phase shift approaches 90° as the value of *R* increases. Moreover, the magnitude and phase of the transfer function at each of the *n* harmonic frequencies can be calculated as follows:

$$A(j\omega_k) = \lim_{z_0 \to \infty} \frac{jz_0}{R + jz_0}$$

$$|A(j\omega_k)| = \lim_{z_0 \to \infty} \frac{|z_0|}{\sqrt{R^2 + z_0^2}} = 1$$

$$\angle A(j\omega_k) = \angle j\infty - \angle (R + j\infty) = \pi/2 - \pi/2 = 0$$
Eq. 35

where $\omega_k = (2k-1)\omega_0$ for k=1,2,...,n. Thus, the value of *R* does not affect the phase or magnitude of any of the generated harmonics. A more detailed analytical proof of Eq. 35 for the 2nd-order driver example is presented in the Appendix.



Figure 40: Frequency response of the network shown in Figure 39 (Vo(s)/Vi(s)).



Figure 41: The proposed network with the parasitic resistance of inductors.

Figure 40 depicts the frequency response of the 2nd-order driver for a 1MHz clock signal with different resistance values. It clearly shows that no distortion is incurred at two resonant frequencies (1MHz and 3MHz) for all resistance values. From this graph, it seems beneficial to increase the resistance to reject higher harmonics.

However, the parasitic resistances of the components and wires unfortunately reduce the voltage level of the output signal as R becomes larger because of the inherent voltage divider present between the parasitic resistances and R. To understand this more clearly, the driver is redrawn in Figure 41 with a parasitic DC resistor of each inductor for the 2nd-order. Other parasitic components whose values are negligible compared with components used are not considered to simplify the analysis. If we apply KCL on the output node, we can write the following equation.

$$\frac{V_{i}(s) - V_{o}(s)}{R} = \left(sC_{L} + \frac{1}{sL_{0} + R_{0}} + \frac{sC_{1}}{s^{2}L_{1}C_{1} + sR_{1}C_{1} + 1}\right) \cdot V_{o}(s)$$
Eq. 36

By arranging for V_o ,

$$V_o(s) = \frac{V_i(s)}{1 + sRC_L + \frac{R}{sL_0 + R_0} + \frac{sRC_1}{s^2 L_1 C_1 + sR_1 C_1 + 1}}$$
Eq. 37

Figure 42 shows the magnitude and phase of *Vi/Vo* at the first two harmonic frequencies. $R_0=30\Omega$ and $R_1=25\Omega$ are used for the inductor parasitic resistances, as specified by the data sheet for the inductor used in our implementation [11]. Though there is negligible change in the phase, the magnitude decreases from 0.96 to 0.69 when *R* increases from 1k Ω to 10k Ω . This is in sharp contrast to the ideal network whose frequency response at the harmonic frequencies is not affected by the resistance value as demonstrated in Figure 40. Consequently, it is important to use an adequate resistance value *R* while maintaining proper voltage levels of the output signal for low power dissipation. For driving 97.8pF load capacitance at 1MHz, our

test measurement demonstrates that only 15% of $fC_L V^2$ is dissipated with a 2k Ω resistance with negligible degradation in output voltage.



Figure 42: Frequency response of the network shown in Figure 41 for the first two harmonic frequencies (a) magnitude (b) phase.

4.4.2 A tank capacitor for a positive-swing waveform

The output of the network in Figure 39 swings between $+V_0/2$ and $-V_0/2$ because one branch between the output and ground contains a single inductor L_0 . To redesign the network to swing from 0 to $+V_0$ we must introduce a DC offset to the output. We propose accomplishing this by introducing a DC offset at the pulse generator input and adding a tank capacitance C_T in series with L_0 .



Figure 43: DC steady-state for the network (a) without C_T (b) with C_T .

To understand how a DC offset from the input pulse generator affects the network, two circuits that differ only in an existence of C_T at the DC steady-state condition are shown in Figure 43. Without C_T , the induced output DC voltage is zero because the output and ground node are shorted by the L_0 branch as shown in Figure 43 (a). As a result, the DC current V_{offset}/R flows into the network, creating significant unwanted DC power. For the network shown in Figure 43 (b), the tank capacitance C_T connected to L_0 in series induces a matching DC offset voltage at the output node, eliminating the DC current into the network. Moreover, the introduction of the tank capacitor has a negligible impact on the overall frequency response of the rail driver. To see this, notice that the impedance functions for the branches in Figure 43 that contain L_0 can be written as follows.

$$Z_{1}(j\omega) = j\omega L_{0}$$

$$Z_{2}(j\omega) = j\omega L_{0} + \frac{1}{j\omega C_{T}} = j\frac{\omega^{2}L_{0}C_{T} - 1}{\omega C_{T}}$$
Eq. 38

Assuming C_T is very large, the impedance of $Z_2(j\omega)$ is negligibly affected by C_T as follows.

$$Z_{2}(j\omega) = j \frac{\omega^{2} L_{0} C_{T} - 1}{\omega C_{T}} \approx j \frac{\omega^{2} L_{0} C_{T}}{\omega C_{T}} = j\omega L_{0}$$

$$Z_{2}(j\omega) \approx Z_{1}(j\omega) \text{ , when } \omega \gg \frac{1}{\sqrt{L_{0} C_{T}}}$$
Eq. 39

In our lab test, a 10nF off-the-shelf capacitor was sufficient to achieve the desired DC offset voltage within a 0.8MHz to 15MHz frequency range. The final proposed voltage-pulse driven positive-swing driver is shown in Figure 44.



Figure 44: A voltage-pulse driven harmonic resonant rail driver.

4.5 Measurement

The proposed harmonic resonant square-wave rail drivers containing up to four terms (i.e. 4th-order) were designed and tested on a wire-wrap board that included tunable inductors and capacitors. We varied the frequency from 0.8MHz to 15MHz by setting these components to theoretical values we calculated using Eq. 25 and Eq. 28. We then tuned each component to achieve minimum measured power dissipation and compared them with their theoretical value. Testing at higher frequencies was limited by the test setup and equipments that are available to the authors.

Table 4 summarizes the lab measurement results for various configurations. In most cases, the measured values of the components are within 7% of the theoretical values. Deviation between the theoretical and tuned capacitance values is larger than for the inductors presumably because of the large parasitic capacitances in our wire-wrapped board. As reported in Table 4, approximately 19% of the calculated conventional power dissipation fC_LV^2 was dissipated for the 2nd-order driver at 15MHz to drive 97.8pF load capacitance.

feasured data of second, third, and fourth square-wave harmonic resonant rail driver for various clock frequencies and load	The first three rows are data for driving 97.8pF load capacitance at different clock frequencies and the last row shows data for	capacitances at 1MHz. Theoretical and measured values of each component are also shown for comparison.
Table 4: Measured data o	capacitances. The first three r	different load capacitances a

CI			3		0	C3	Г	LO	Г	1	Γ	L2	Π	L3	А	P/fC_LV^2
theory measured theory measured t	theory measured	measured	_		theory	measured	theory	measured	theory	measured	theory	measured	theory	measured	4	$(0_0')$
59.8		•	,			1	224.83	215.0	126.47	119.6	ı	1	ī	1	3.151	14.29
59.8	-		ı		I	1	143.89	135.9	80.94	75.6	I	1	I	-	2.015	14.53
59.0		-		-	-	-	35.97	34.6	20.23	18.8	-	-	•	-	1.183	14.88
59.3	1		·		-	-	5.76	5.6	3.24	2.96	-	-		-	0.493	14.66
56.0	0.5	-	1		-	-	1.44	1.50	0.81	0.79	-	-	1	-	0.120	16.58
56.5		-		_	-	-	0.64	0.67	0.36	0.36	-	-	-	-	0.056	19.00
98.8 21.4		21.4				ī	155.28	155.2	81.49	80.3	98.84	94.8	1	-	2.311	16.45
99.5 21.4 19.5	21.4		19.5		-		99.38	<i>L</i> .99	52.15	50.7	63.26	61.8	1	•	1.671	16.61
100.1 21.4 19.4	1 21.4	21.4 19.4	19.4		-	-	24.84	24.3	13.04	12.6	15.81	15.3		-	0.879	16.78
103.5 21.4 19.3	21.4		19.3		1	1	3.98	3.9	2.09	1.9	2.53	2.3	T	-	0.353	17.48
137.0 33.2 30.8	33.2		30.8		11.7	10.2	118.53	117.5	60.86	60.8	66.68	67.0	83.15	78.0	1.417	26.41
138.3 33.2 30.4	33.2		30.4		11.7	9.9	75.86	76.6	38.95	39.8	42.68	43.8	53.22	50.0	0.958	28.03
138.0 33.2 31.4	33.2		31.4		11.7	9.9	18.96	19.2	9.74	9.7	10.67	10.7	13.30	12.0	0.617	27.16
138.2 33.2 31.8	33.2		31.8		11.7	10.3	3.03	2.9	1.56	1.5	1.71	1.6	2.13	2.1	0.223	28.52
21.6	ı						368.39	350.0	207.32	199.6	ı		1	1	2.48	23.85
34.2	1.2	-			-	-	254.01	243.3	142.88	138.4	-	-	-	-	1.867	23.27
45.5	5.5	-	ı		ı	I	207.86	198.0	116.92	109.3	I	I	ı	I	1.592	22.73
56.0			,		,	,	167 53	167 53 160 30	94 23	90.10	,	ı	ı	'	1 577	22.76

Power dissipation increases as the order of the driver increases. This effect appears to be due to more parasitic components in the test board. In addition, tuning the circuit for minimum measured power dissipation is increasingly error prone since more design variables are involved. Note that as we increase the order of the driver, we must include additional capacitance such as C1 and C2 for the 2nd-order driver. However, this doesn't increase the power dissipation significantly because only a small fraction of the current is drawn from the input pulse generator. In particular, the pulse generator needs to provide only a very small current sufficient to compensate the energy loss due to the parasitics of the components.



Figure 45: Normalized power dissipation ($P/fCLV^2$) and transition time versus resistance R. $fCLV^2$ is the theoretical conventional power dissipation to drive load capacitance C_L .

The last row in Table 4 shows the measurement data of the 2nd-order driver for different load capacitances at 1MHz. Resistance values are reduced to achieve 10%

rising and falling times of the total cycle time. Power dissipation is increased by approximately 7% for this case while rising and falling times are shortened by 3% from the minimal power dissipation mode. This result suggests that by changing resistance value, we can control the rising and falling times at the expense of power dissipation. Figure 45 illustrates the measured power dissipation as we changed the resistance value *R* for 1MHz and 100pF. The transition time with $2k\Omega$ resistance was measured as 110ns which is 11% of the total cycle time. Notice that transition times in Figure 45 are normalized to this value. At 285 Ω , the transition time drops to 50ns (45%) while the power dissipation increases from 15% to 57.9% of fC_LV^2 .

Figure 46 and Figure 47 show oscilloscope traces of the output signal of the driver for the 2^{nd} - and 3^{rd} -order harmonics. To see how the output signal is synchronized, the input pulse is also shown. A FFT-enabled oscilloscope trace for the 4^{th} -order driver output is presented in Figure 48. The figure shows that only four harmonic frequencies are present in the output signal. Figure 49 presents the trace of the output signal of the 2^{nd} -order harmonic driver for 10MHz frequency.



Figure 46: A scope trace of output waveform for the 2nd-order driver at 1MHz.



Figure 47: A scope trace of output waveform for the 3rd-order driver at 1MHz.



Figure 48: A FFT-enabled scope trace of waveform for the 4th-order driver at 1MHz.



Figure 49: A scope trace of output waveform for the 2nd-order driver at 10MHz.

When the driver is directly connected to the clock network, the non-linear characteristic of the transistors can cause load capacitance variations. To measure power dissipation as a function of the load capacitance variation, we varied C_L from -30% to +30% of the nominal value while keeping all other components the same. The power was then measured. The results for a 1MHz clock and a 100pF load capacitance are plotted in Figure 50.



Figure 50: Normalized power dissipation versus load capacitance (C_L) . All components except C_L are kept same as designed for 100pF C_L .

Normalized power dissipation in the graph is the ratio between the measured power dissipation and fC_LV^2 . Power dissipation at 100pF is minimum because the circuit is designed to harmonically resonate at this value. No frequency variation was noticed for this range of capacitances as is expected for any externally-driven driver. Unlike the self-oscillating rail drivers whose frequency varies proportional to the square root

of variations in capacitance [5], this beneficial characteristic of our drivers significantly increases system stability. For capacitance greater than 130%, however, significant voltage-level degradation is observed. On the other hand, if we reduce the load capacitance below 70% of nominal, the power dissipation increases rapidly because current from the input pulse generator mostly charges the load capacitance instead of it being charged resonantly.

In addition to the increased power dissipation by the load capacitance variation, the phase shift between the input pulse and the generated output causes a clock jitter. To quantify this effect, we measured the delay time of the output with respect to the input pulse at the *Vdd/2* voltage level while varying the load capacitance C_L from - 30% to +30% of the nominal value. The measurement result is shown in Figure 51.



Figure 51: Clock jitter versus load capacitance (C_L) .

We observed clock jitter ranging from -47ns to 43ns for 1MHz clock frequency. This relatively high clock jitter can be compensated by an increased clock cycle time. Therefore, 5% to 10% performance loss is expected for applications with high load capacitance variation.



Figure 52: Normalized power dissipation versus clock cycle variation.

Another experiment was carried out to measure power dissipation as a function of frequency change of the input pulse generator. We varied the frequency of the input pulse generator (f_{clk}) from -10% to +10% from its nominal value then the power was measured. Figure 52 shows the measurement results of the power dissipation. At the nominal frequency (1MHz), the normalized power dissipation is 14% of fC_LV^2 . When f_{clk} is reduced by 10% from its nominal value, the power dissipation is increased to 39% of fC_LV^2 . 36% of fC_LV^2 was measured when f_{clk} is increased by

10%. For 2% frequency fluctuation, the power dissipation is increased to 15% of $fC_L V^2$.

Chapter 5

CASE STUDY: LOW-POWER FIR FILTER

Now that the low power circuit techniques have been proposed for two major power consumption components – clock networks and memory blocks - of portable DSP applications, a low-power FIR filter design is presented in this chapter as one way to combine these techniques in real DSP applications.

5.1 Motivation

FIR (Finite Impulse Response) filtering is one of the most commonly used functions in DSP and communication systems [41][44]. Some examples include spectral shaping, matched filtering, noise rejection, channel equalization, and wavelet decomposition/reconstructions [44]. Its operation is achieved by convolving input data samples with the desired impulse response of the filter. The output y[n] of an *N*tap FIR filter (Figure 53) is given by the weighted sum of the latest *N* input data samples.

$$y[n] = \sum_{k=0}^{N-1} h[k] \cdot x[n-k]$$
 Eq. 40

The weights h[k] in the above expression are the filter coefficients. The number of taps (*N*) and the coefficient values are derived so as to satisfy the desired filter response in terms of passband ripple and stopband attenuation. The most ubiquitous hardware implementation for FIR filters is to use a generic DSP architecture as

shown in Figure 54 where two memory blocks (coefficient and data) and a multiplyaccumulate (MAC) unit are synchronously reused to mimic a tapped delay line implementation as shown in Figure 53. Two memory blocks can be accessed simultaneously. This is similar to the Harvard architecture employed in most programmable DSP's [38][68].



Figure 53: A tapped delay line implementation of N-tap FIR filter.



Figure 54: A generic DSP architecture for FIR filter.

For an N-tap FIR filter, the latest N data samples are required. Therefore, the latest N samples need to be stored in the data memory. After every output computation, a new data sample is read and stored in the data memory, and the oldest data sample is removed. Data sample x/k for the current computation becomes data sample x/k-1for the next computation. The existing data samples thus need to be shifted by one position for every output. The power dissipated due to this data movement can be minimized by using a circular buffer [68] where the pointer to the data is moved instead of moving the data. For programmable FIR filters, the coefficient memory can be configured as a circular buffer as well. It is straightforward to design a circular buffer by combining a conventional SRAM array with an address sequencing logic, which is often implemented by up/down counters. This approach is quite wasteful both in power and speed aspects if the accesses to the memories in the target applications, such as FIR filtering, are all sequential as demonstrated in Chapter 3. In particular, as N becomes large, the power dissipated by the drivers of the address lines and decoders grows significantly.

A clock network is another major power consumption source of FIR filter design. In particular, the number of taps required increases significantly in modern DSP systems to achieve high overall resolution with relatively simple analog components. This leads to a high-frequency system clock even if the sampling rate of input and output data is slow. For example, the sampling rate of typical CD audio signals is 44.1KHz. To design a low-pass filter with the specification of 4.1KHz (20-24.1KHz) transition band and 80dB stopband attenuation, approximately 120 taps are required

[16] thus the system clock frequency has to be increased to 5.92MHz. Because the system clock is distributed throughout the system (on-chip and off-chip), total power dissipation of the system significantly grows by the increase of the clock frequency. An on-chip PLL can be used to multiply the system clock to generate a faster on-chip clock. However, typical multiplication factors of PLLs ranges from two to five [21]. In addition, the power dissipation of a PLL can easily eclipse the power savings from reducing the system clock frequency.

This chapter presents a novel FIR filter design where the on-chip clock signal is locally generated from the self-resetting memory so that the system clock can be kept at the sample rate. The local clock signal automatically stops as soon as filter operations are completed. Moreover, by utilizing an existing self-resetting control signal of a memory block for a local clock signal, there is virtually no circuit overhead. This is in sharp contrast to conventional techniques such as clock gating and stoppable clocks. The sequential access memory presented in Chapter 3 is used for coefficient and data memory blocks to further reduce power dissipation of memory accesses. Clock distribution network schemes are also discussed to maximize the power savings benefit of the resonant clock rail driver presented in Chapter 4.

5.2 Architecture

Figure 55 shows a block diagram of our proposed FIR filter. A slow sample clock (*phi*) is connected only to I/O registers.



Figure 55: A block diagram of a N-tap FIR filter

A faster operation clock (*lphi*) is locally generated from the data memory completion signal and connected to datapath pipeline registers and memories. A typical implementation includes a clock distribution network on the local clock to reduce skew and slew rate. The clock frequency of *lphi* is determined by the latency of the read accesses. Therefore, the datapath should be designed such that the critical path latency of the datapath is less than the read access latency of the data memory. However, this constraint can be relaxed by inserting delay lines on the local clock net to increase the clock cycle arbitrarily. To minimize the power dissipation of decoding and driving address lines, sequential access memories are used both for data memory and coefficient memory. To keep track of the number of operations to be performed, a controller is integrated into the datapath. All other datapath blocks including I/O registers, a controller and a MAC (multiply-accumulate) are designed using a standard ASIC design flow under timing constraints taken from the memory design simulation.

5.3 Timing and circuits

5.3.1 Local clock generation

The most unique feature of the proposed FIR filter is to use the self-resetting signals from a sequential access memory as a clock signal for datapath blocks. Figure 27 is redrawn in Figure 56 with the new signal *complete*. For a synchronous design where the memory is triggered by the system clock, self-resetting circuit techniques are mainly used to make a sequence of micro-operations in line so that there is no waste in power dissipation. Therefore, completion detection is not required as long as a clock cycle time is longer than the read access latency.



Figure 56: A timing diagram of the read operation of SAM with a newly added complete signal.

To enable the memory to trigger read accesses without an external clock, the *complete* signal is fed back to the memory clock input port. Both edges of the *complete* signal are asserted by the self-resetting circuit operations discussed in Chapter 2. The local clock signal *lphi* is simply a delayed signal of *complete*. Typically, the phase difference between *complete* and *lphi* includes clock tree insertion delay. All micro-operations of each read access are triggered by the rising edge of *lphi*.

5.3.2 Controller

As defined in Eq. 40, every step of FIR filtering starts with read accesses to the data and coefficient stored in memories and finishes with a MAC operation. The initial read access can be triggered easily by utilizing a rising edge of the system clock. However, determining the last access, and thus the completion of operations, requires additional logic. For a 64-tap FIR filter, one 6-bit counter and simple state machine can implement this function as presented in Figure 57. A timing diagram of the controller is shown in Figure 58.



Figure 57: A block diagram of the 64-tap FIR filter controller.



lrenc and *lrend* are read enable signals for the coefficient memory and data memory respectively. The *pphi* signal which is generated at the rising edge of the system clock *phi*, resets the counter and asserts *lrenc* and *lrend*. The up-counter keeps incrementing the counter value at each rising edge of *lphi*. When the counter value

cnt reaches 62 and 63, *lrenc* and *lrend* are reset to zero respectively. When these signals are low at the rising edge of *lphi*, no read access is performed, and *lphi* stops toggling thereafter. For each output of FIR filtering, one redundant read access to the data memory is performed because the starting location of the data memory has to be incremented by one as shown in Figure 59. Data from this additional access is discarded and not forwarded to the datapath blocks.

0 1 2	61 62 63	start	end
x[i-63] x[i-62] x[i-61]	x[i-2] x[i-1] x[i] →	0	63
x[i+1] x[i-62] x[i-61]	x[i-2] x[i-1] x[i]	1	0
x[i+1] x[i+2] x[i-61]	x[i-2] x[i-1] x[i]	2	1

Figure 59: A starting location of the data memory for each sample.

5.3.3 Read operation

We have shown how to generate the local clock signal (*lphi*) and read enable signals (*lrenc*, *lrend*) in previous subsections. To see how these signals are used for read operations, a timing diagram for read operations is presented in Figure 60. The initial read access (x[i-63]) is triggered by the *pphi* signal when the global read enable (*ren*) signal is high. This initial read access then creates the first rising edge of *lphi*. As discussed in the previous subsection, the *lphi* signal repeats toggling until the *lrend* signal goes to low. The read controller for the single bank sequential access at the rising shown in Figure 26 is slightly modified to trigger the initial read access at the rising

edge of *pphi*. Figure 61 shows the modified circuit diagram for the *rseq_en* signal. Other read control signals are not affected by the new timing.



Figure 60: A timing diagram of read accesses for the 64-tap FIR filter.



Figure 61: A modified read controller of the SAM to enable an initial read access by pphi

For a multi-bank sequential access memory, only one of the banks is active for a given cycle. Consequently the *complete* signal from the active bank toggles while others are held high. Therefore, all of these signals are combined by an AND gate to get the local clock signal that repeats toggling during the whole operation. A block diagram of the four-bank example and its timing diagram are depicted in Figure 62 and Figure 63. To simplify the timing diagram, each bank is assumed to have two rows.



Figure 62: A block diagram of the data memory to generate the local clock signal lphi.



Figure 63: A timing diagram of Figure 62. Each bank is assumed to have only two rows to simplify the diagram.

The start address of read accesses can reside in any of the banks for a given sample. The read controller for a single bank sequential access memory shown in Figure 61 must be modified because all of the banks will be triggered by the *pphi* signal otherwise. Note that the bank location of the start address at the beginning of the operations is stored in the *bank_enq* signal of Figure 24. By adding one NMOS transistor with a gate connected to *bank_enq* in the newly added NMOS stack of Figure 61, the initial triggering of read accesses can be easily achieved. Figure 64 shows the modified circuit diagram of the read controller for the multi-bank sequential access memory.



Figure 64: A modified read controller of the multi-bank SAM to enable an initial read access by *pphi*.

5.3.4 Write operation

Regardless of the tap size of a FIR filter, only one write operation for the data memory is required per sample. A sequential access memory for FIR filtering is basically the same as a FIFO configuration. Therefore, the oldest sample stored in the data memory during the previous period is replaced with the new sample at the beginning of the current period. Concurrent read and write to/from the same location usually requires additional bypassing logic or a prolonged latency. To avoid this overhead, read accesses start from the oldest sample to the new sample whereas the new data is written into the data memory at the beginning of the current period. The *pphi* signal is used to trigger the write operation as shown in Figure 65.



Figure 65: A timing diagram of write operations.

5.3.5 Overall timing for FIR filtering

An overall timing diagram of FIR filtering is shown in Figure 66. Multiplier inputs (*m1/m2*) and the accumulator output (*acc*) are pipeline register outputs triggered by the *lphi* signal. The FIR filter output (*FIRout*) is latched by the I/O registers at the subsequent rising edge of *phi. coef* and *data* are outputs of the coefficient memory and the data memory, respectively. Note that the final value of the accumulator is available at the last rising edge of *lphi*.


Figure 66: An overall timing diagram of FIR filtering

5.4 Issues with the clock distribution network

The clock network is one of the major sources of power dissipation in DSP applications. In particular, capacitances associated with the clock network at the system level are typically higher than on-chip clock networks. Therefore, by reducing the system clock frequency from the operating rate to the sample rate, a significant amount of energy can be saved. The resonant clock rail driver presented in Chapter 4 can save energy even further by driving the clock network resonantly. In addition, it has been demonstrated that energy efficiency of the resonant clock rail driver as a clock frequency decreases.

In this section, we will present issues related with the clock distribution network to minimize clock power dissipation.

5.4.1 Global and hierarchical clock trees

Since the clock signal to trigger operations for each FIR filter is locally generated from the memory, it is inherent to use hierarchical clock tree generation. For each FIR filter, a small clock tree whose root is the *complete* signal of the data memory is generated to meet timing requirements such as clock skew and transition time. At a chip level, another clock tree is inserted to minimize clock skew from the system clock node to each leaf node, which is the system clock input of the FIR filter. A hierarchical clock tree scheme is depicted in Figure 67.



Figure 67: Hierarchical clock tree generation for FIR filter banks.

One of the advantages of this configuration is the small leaf node capacitance of the system clock. Clock trees of high-speed clocks are localized in each block so that clock tree overhead is smaller as compared with a global clock tree generation. (Figure 68) Contrary to conventional approaches in which a high-speed clock is distributed across a whole chip, there is no timing relationship between these local clock signals. Therefore, the clock tree overhead to minimize clock skew and clock slew rate can be significantly reduced.



Figure 68: Conventional clock tree generation with global clock distribution.

5.4.2 Resonant and conventional clock drivers

To ensure fast clock transitions in conventional systems, buffers are inserted to drive large load capacitances of a clock net [13][20]. There are two common clock driving schemes: single driver and distributed buffer [57]. In the single driver scheme as shown in Figure 69 (a), a chain of cascaded buffers with a very large buffer at the end is used at the clock source, and no buffers are used elsewhere; in the distributed buffers scheme as shown in Figure 69 (b), intermediate buffers are inserted in various parts of the clock tree. The single driver scheme has the advantage of avoiding adjustment of intermediate buffer delays as in the distributed buffers scheme. Often in conjunction with this scheme, wire sizing is used to reduce the clock phase delay. Widening the branches that are close to the clock source can also reduce skew caused by asymmetric clock tree loads and wire width deviations [75][55]. The distributed buffers scheme is often preferred over the single buffer scheme as the chip size increases due to its flexibility and small clock phase delay [19][13]. For power minimization in a clock tree, the distributed buffers scheme is also preferred due to its capability to shut down subsystems by replacing buffers with logic gates.



Figure 69: Two clock tree driving scheme: (a) Single driver scheme (b) distributed buffer scheme

The resonant clock rail driver works in a similar way as the single driver scheme. The clock driver can drive any arbitrary capacitance for a given slew rate and total load capacitance during the design procedure. Therefore, no intermediate clock buffer is needed. To reduce RC delay resulting from the relatively long wire length from a clock pin to leaf nodes, clock wires can be expanded at the expense of increased load capacitances. However, the cost is considerably smaller than a conventional single driver scheme because of the high energy efficiency of the resonant clock rail driver. Considering the slow clock frequency requirement and hierarchical clock tree configuration of the proposed design, the resonant clock rail driver can be easily applied. In addition, shutting down subsystems such as FIR filter banks is controlled by control signals rather than gated clock signals. When the read enable signal is not asserted, read accesses to the data memory is not triggered, and thus the local clock signal is automatically disabled.



Figure 70: Serpentine clock distribution network.



Figure 71: Balanced H-tree clock distribution network.

Several clock routing topologies can be applied for the resonant clock rail drivers. Figure 70 shows one possible topology where each load is driven by a single pointto-point wire and lengths are matched using a serpentine structure [27]. This structure is relatively simple to design and has virtually zero skew for identical loads as long as coupling capacitances are insignificant. A symmetric H-tree [15][25] shown in Figure 71 has much smaller clock capacitances than the serpentine structure with a negligible clock skew for ideal load distribution. However, tuning tree topologies to drive highly non-uniform loads with low skew can be much more difficult.

5.5 Implementation

Two prototype 16-b FIR filters - 64-tap and 16-tap - were designed and implemented in the TSMC 0.25-µm n-well CMOS process. A standard ASIC design flow was generally applied to generate datapath blocks including MACs and controllers using a standard-cell library from Artisan. A timing constraint for the datapath blocks was set to 4.0ns at 2.5V to meet the read access latency of the memory. A Wallace tree multiplier and carry lookahead adder structures are used for MACs. The 16-tab and 64-tab FIR filters occupy 329.6-µm x 496.1-µm and 395.4-µm x 848.5-µm, respectively. Table 5 summarizes the characteristics of the prototype FIR filters. Layout plots for the two FIR filters are presented in Figure 72 and Figure 73.

Technology	0.25-μm n-well CMOS logic process (5-level metal layers, single poly)
Threshold Voltage	0.51V (NMOS), -0.52V (PMOS)
FO4 delay	174ps
Number of Transistors	46862 (64-tap), 24624 (16-tap)
Operating Voltage	0.67-2.5V (core), 1.5V-3.3 (I/O)
Core Size	395.4 x 848.5µm ² (64-tap)
	329.6 x 496.1µm ² (16-tap)

Table 5: Summary of the process technology and the test chip



Figure 72: A layout plot of the 16-tap FIR filter.



Figure 73: A layout plot of the 64-tap FIR filter.

5.6 Kaiser-window low-pass FIR filter

Simple low-pass FIR filters based on the Kaiser-window method [53] were designed using Matlab software [67]. Figure 74 shows the frequency response of the designed filters. Real 16-bit human speech signal sampled at 16KHz is used for input and filtered to produce output. 2048-point FFT plots for both input and output signals are shown in Figure 75. It is clearly shown that the high frequency components are filtered out.







5.7 Simulation results

Circuits are extracted from layout and verified using Mentor Graphics Calibre suite. Then Nanosim simulation was performed to measure power dissipation and performance. The speech signal shown in Figure 75 was used for input, and the result was compared with Matlab simulation output.

To measure the performance of the proposed FIR filter, the cycle time of the local clock signal *lphi* was measured. Figure 76 shows the average value of the cycle time for four supply voltages. As discussed in Chapter 3, there is no decoding time involved for sequential access memories. Therefore, the cycle time difference

between the two FIR filter configurations is measured to be less than 7% for all occasions. This small difference mainly results from the 4-input AND gate (Figure 62) to combine complete signals from each bank and relatively longer wires from the memory to the datapath for a 64-tap FIR filter.



In addition to the average cycle time of the *lphi* signal, each cycle time was measured to observe cycle time variation. Table 6 summarizes the cycle time variation results. Variation from the average values ranges from -2.12% to 1.98%, which can be easily compensated by giving 3% - 5% more timing margin when the datapath is designed.

Vdd		1.0	1.5	2.0	2.5
16-tap FIR filter	Min	-1.35%	-1.02%	-1.46%	-1.45%
	Max	1.24%	1.45%	1.98%	0.82%
64-tap FIR filter	Min	-1.80%	-1.95%	-1.04%	-2.12%
	Max	1.40%	1.18%	1.12%	1.37%

Table 6: Clock cycle time variation = $(T_{max/min} - T_{avg}) / T_{avg}$

Figure 77 shows the power dissipation of two FIR filters for different supply voltages. The number of operations per sample is 64 and 16 for the 64-tap FIR filter and the 16-tap FIR filter, respectively. Energy per sample of the 64-tap FIR filter is approximately 3.9 times more than that of the 16-tap FIR filter, which mainly results from the increase in required number of operations.



Figure 77: Energy/sample plot for two FIR filters.

Figure 78 shows the simulation results of the power breakdown of the two FIR filters with V_{DD} =1.0V and 16.0KHz sample rate. Clock trees consume only 4.16 - 6.80% of total power dissipation because high-speed operating clocks are generated only locally, without a connection to any off-chip system clock pin or the use of a PLL. Power dissipation of the memories for the 64-tap FIR filter is about six times (intuitively expected to be four fold) that of the 16-tap FIR filter mostly due to longer routing wires from the memories to the datapath blocks and data dependency of the power dissipation.



Figure 78: Power breakdown of two FIR filters.

Number of banks	Effective capacitance	
	of the clock network (fF)	
4	283.05	
8	651.02 (2.3x)	
16	1692.64 (5.98x)	
32	6079.02 (21.47x)	

Table 7: Effective clock capacitance of the conventional clock tree for filter banks

Table 8: Effective clock capacitance of the balanced H-tree

Number of banks	Effective capacitance	
	of the clock network (fF)	
4	198.05	
8	412.00 (2.08x)	
16	832.48 (4.20x)	
32	1680.30 (8.48x)	

Most of the applications require multiple functional blocks in a chip where the system clock is distributed throughout the chip to synchronize these blocks. Therefore, clock tree generation is required for the system clock signal even if most of the operating clock signals are localized for the proposed design. To evaluate power dissipation of the system clock tree, we developed four FIR filter bank designs: 4-, 8-, 16-, 32-banks. A conventional clock tree generation tool is used to create the system clock tree under the same timing constraints.

Table 7 shows the effective capacitance associated with the system clock tree including both clock wire and clock drivers. It is shown that the effective capacitance grows more than linearly as the number of banks increases due to the increase in clock tree levels and buffers. When the resonant clock rail driver is connected to the

system clock, serpentine or symmetric H-tree clock distribution networks can be used. Table 8 shows the system clock capacitance when the symmetric H-tree clock distribution network is used. Contrary to the conventional clock distribution network, capacitance increases linearly. Assuming the energy efficiency of the resonant clock rail driver is 80%, approximately 94.5% (1680.30*0.2/6079.02=0.055) energy savings results for a 32-bank case.

When the system clock frequency is low, such as that of the FIR filter design proposed in this chapter, the power savings in the on-chip clock distribution network is relatively small by applying the resonant clock rail driver. However, if an application requires a system clock at the operating rate or a large system clock network capacitance, clock power dissipation can be greatly reduced by driving most on-chip and off-chip clock networks resonantly. In addition, moving the global clock off the chip will improve the reliability of a system [26] because the crosstalk between logic gates and intermediate clock buffers and the *di/dt* noise at clock edges by the clock buffers can be considerably eliminated. Note that the resonant clock rail driver is orthogonal to other low-power techniques. As the resonant clock rail driver is applied only on the clock network, all other conventional low-power circuit techniques can be easily combined.

Chapter 6

CONCLUSIONS

In this dissertation, we presented various circuit solutions to reduce power dissipation in the memory blocks and the clock network, which are two components that account for a significant amount of power dissipation in portable DSP applications.

First, a novel low-power register file and a sequential access memory have been designed, fabricated, and tested. The register file provides wide voltage scalability and various clocking support. A novel read controller based on a self-resetting postcharge logic minimizes the static power dissipation. The sequential access memory design demonstrates the unique feature of having power dissipation that is largely independent of memory size by replacing decoders, an address sequencing logic, and address line drivers with the sequencer logic. The proposed design demonstrates the low-power potential of sequential access memories for applications requiring non-random access patterns.

Second, we presented a new algorithm and a prototype implementation of a harmonic resonant rail driver. The design goal is to produce an energy-efficient harmonic resonant clock signal using a simple network topology requiring no additional DC power supply. The experiment result shows that a significant amount of energy for driving the clock load can be recycled and saved by the resonant characteristic of the proposed driver. Depending on the number of harmonics in the driver, we were able to save 70-85% of the conventional power dissipation. Moreover, the frequency variation caused by changes in load capacitance demonstrated significant improvement from the previously reported resonant clock drivers.

Third, a novel FIR filter was designed and tested to demonstrate the feasibility of the proposed circuit techniques in a real DSP application. A self-resetting data memory is configured such that it generates a stoppable clock, which is synchronously started and asynchronously stopped. In this way, we were able to reduce the system clock frequency to the sample rate. The resonant clock rail driver can be easily combined to further reduce power dissipation of the system clock network.

As the proposed FIR filter can detect the completion of operations and shut down the local clock automatically, it is possible to reduce significant leakage currents when combined with leakage current reduction techniques such as back-bias and dynamic voltage scaling. One of the advantages of the proposed design is the ability for subcomponents to go to the sleep state as soon as the operations are completed even during the operating mode. For a moderate speed requirement, as is often the case for portable battery-powered DSP applications, a self-resetting memory can be configured as the cheap clock source.

On-chip implementation of the resonant clock driver will improve power efficiency and the frequency of the output clock. Quantization of variations on energy efficiency and phase shift by driving non-linear capacitances such as MOS gate capacitances will be necessary to produce a desired waveform.

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Appendix

Proof of Eq. 35 for the 2^{ND} -order Driver

By applying KCL on the output node of Figure 39 (for the 2nd-order driver), we can write the following equation.

$$\frac{V_i(s) - V_o(s)}{R} = \left(sC_L + \frac{1}{sL_0} + \frac{sC_1}{s^2L_1C_1 + 1}\right) \cdot V_o(s)$$
 Eq. 41

 V_o can be rewritten as follows.

$$V_{o}(s) = \frac{(L_{0}L_{1}C_{1}s^{3} + L_{0}s) \cdot V_{i}(s)}{RL_{0}L_{1}C_{L}s^{4} + L_{0}L_{1}C_{1}s^{3} + R(L_{0}C_{L} + L_{1}C_{1} + L_{0}C_{1})s^{2} + L_{0}s + R} = \frac{(s^{3} + \frac{s}{L_{1}C_{1}}) \cdot \frac{V_{i}(s)}{RC_{L}}}{s^{4} + \frac{s^{3}}{RC_{L}} + (\frac{1}{L_{1}C_{1}} + \frac{1}{L_{0}C_{L}} + \frac{1}{L_{1}C_{L}})s^{2} + \frac{s}{RL_{1}C_{L}C_{1}} + \frac{1}{L_{0}L_{1}C_{L}C_{1}}}$$
Eq. 42

For the 2nd-order square-wave driver, all of the components can be represented with C_L and ω_0 as follows.

$$L_{0} = \frac{5}{9} \frac{1}{C_{L} \omega_{0}^{2}}, \ L_{1} = \frac{5}{16} \frac{1}{C_{L} \omega_{0}^{2}}, \ C_{1} = \frac{16}{25} C_{L}$$

$$\frac{1}{L_{1}C_{1}} = 5\omega_{0}^{2}, \ \frac{1}{L_{0}C_{L}} = \frac{9}{5} \omega_{0}^{2}, \ \frac{1}{L_{1}C_{L}} = \frac{16}{5} \omega_{0}^{2}$$
Eq. 43
$$\therefore \left(\frac{1}{L_{1}C_{1}} + \frac{1}{L_{0}C_{L}} + \frac{1}{L_{1}C_{L}}\right) = 10\omega_{0}^{2}, \ \frac{1}{L_{0}L_{1}C_{L}C_{1}} = 9\omega_{0}^{4}$$

Using Eq. 43, we can simplify Eq. 42 into Eq. 44.

$$V_{o}(s) = \frac{1}{RC_{L}} \cdot \frac{s^{3} + 5\omega_{0}^{2}s}{s^{4} + \frac{s^{3}}{RC_{L}} + 10\omega_{0}^{2}s^{2} + \frac{5\omega_{0}^{2}s}{RC_{L}} + 9\omega_{0}^{4}} \cdot V_{i}(s)$$
 Eq. 44

To show how input harmonic signals are not affected by the series resistor R, let's examine the output when sine wave $(V_i(t)=A_k \cdot sin(k\omega_0 t))$ is applied. Note that ideal square-wave is the sum of the sine waves harmonically related (k=1,3, ...). Using partial fraction expansion, the following equation can be established.

$$V_{o}(s) = \frac{A_{k}}{RC_{L}} \times \left(\frac{\alpha s^{3} + \beta s^{2} + \gamma s + \lambda}{s^{4} + \frac{s^{3}}{RC_{L}} + 10\omega_{0}^{2}s^{2} + \frac{5\omega_{0}^{2}s}{RC_{L}} + 9\omega_{0}^{4}} + \frac{\theta s + \sigma}{s^{2} + k^{2}\omega_{0}^{2}}\right)$$
Eq. 45

By comparing Eq. 44 and Eq. 45, we get the following equations.

$$(\alpha + \theta)s^{5} + (\sigma + \beta + \frac{\theta}{RC_{L}})s^{4}$$

$$+ (\alpha k^{2}\omega_{0}^{2} + \gamma + \frac{\sigma}{RC_{L}} + 10\theta\omega_{0}^{2})s^{3}$$

$$+ (\beta k^{2}\omega_{0}^{2} + \lambda + 10\sigma\omega_{0}^{2} + \frac{5\theta\omega_{0}^{2}}{RC_{L}})s^{2}$$
Eq. 46
$$+ (\gamma k^{2}\omega_{0}^{2} + \frac{5\sigma\omega_{0}^{2}}{RC_{L}} + 9\theta\omega_{0}^{4})s + (\lambda k^{2}\omega_{0}^{2} + 9\sigma\omega_{0}^{4})$$

$$= k\omega_{0}s^{3} + 5k\omega_{0}^{3}s$$

Solving for θ and σ ,

$$\frac{(1-k^2)(9-k^2)}{k^2}\sigma + \frac{1}{RC_L}(5-k^2)\omega_0^2\theta = 0$$
 Eq. 47

Notice that when k = 1 and 3, θ is forced to zero which in turn simplifies other terms as shown below.

$$\alpha = 0$$

$$\beta = -RC_{L}k\omega_{0}$$

$$\gamma = 0$$

$$\lambda = -\frac{9RC_{L}}{k}\omega_{0}^{2}$$

$$\sigma = RC_{L}k\omega_{0}$$

$$\theta = 0$$

Eq. 48

Therefore, for k = 1 or 3, Eq. 45 becomes

$$V_{o}(s) = V_{x}(s) + V_{i}(s)$$

$$= \frac{A_{k}}{RC_{L}} \times$$

$$\left(\frac{-RC_{L}k\omega_{0}s^{2} - \frac{9RC_{L}\omega_{0}^{2}}{k}}{s^{4} + \frac{s^{3}}{RC_{L}} + 10\omega_{0}^{2}s^{2} + \frac{5\omega_{0}^{2}s}{RC_{L}} + 9\omega_{0}^{4}} + \frac{RC_{L}k\omega_{0}}{s^{2} + k^{2}\omega_{0}^{2}}\right)$$

$$= \frac{-A_{k}k\omega_{0}s^{2} - \frac{9A_{k}\omega_{0}^{2}}{k}}{s^{4} + \frac{s^{3}}{RC_{L}} + 10\omega_{0}^{2}s^{2} + \frac{5\omega_{0}^{2}s}{RC_{L}} + 9\omega_{0}^{4}} + \frac{A_{k}k\omega_{0}}{s^{2} + k^{2}\omega_{0}^{2}}$$
Eq. 49

From this equation, we can conclude the first two harmonics from the input pulse generator are not affected by the series resistor and thus appear at the output node with the same magnitude and phase. Figure 79 shows the time-domain waveform of $V_x(s)$ for various R values. As shown in the figure, the magnitude of $v_x(t)$ always converges to zero regardless of R value. Therefore, when the circuit reaches steady-state condition, only the first two harmonics are present at the output node and no power is dissipated if the input is composed of these two harmonics.



Figure 79: Waveform plot for $v_x(t)$ in Eq. 49.